

# Gapped String Indexing in Subquadratic Space and Sublinear Query Time

---

**Philip Bille**

Inge Li Gørtz

Moshe Lewenstein

Solon P. Pissis

Eva Rotenberg

Teresa Anna Steiner

# String Indexing

---

- Preprocess string  $S$  of length  $n$ .
- Query( $P$ ): return all occurrences of  $P$  within  $S$ .

1	2	3	4	5	6	7	8	9	10	11	12	13	14
n	a	n	a	n	a	n	a	b	a	t	m	a	n

$P = \text{NA}$

Query( $P$ ): 1, 3, 5, 7

# Gapped String Indexing

---

- Preprocess string  $S$  of length  $n$ .
- Query( $P_1, P_2, \alpha, \beta$ ): return all occurrences of  $P_1$  and  $P_2$  in  $S$  whose distance is in  $[\alpha, \beta]$ .

1	2	3	4	5	6	7	8	9	10	11	12	13	14
n	a	n	a	n	a	n	a	b	a	t	m	a	n

$P_1 = NA$

$P_2 = AN$

$\alpha, \beta = 3,6$

Query( $P_1, P_2, \alpha, \beta$ ): (1,4), (1,6), (3,6), (7,13)

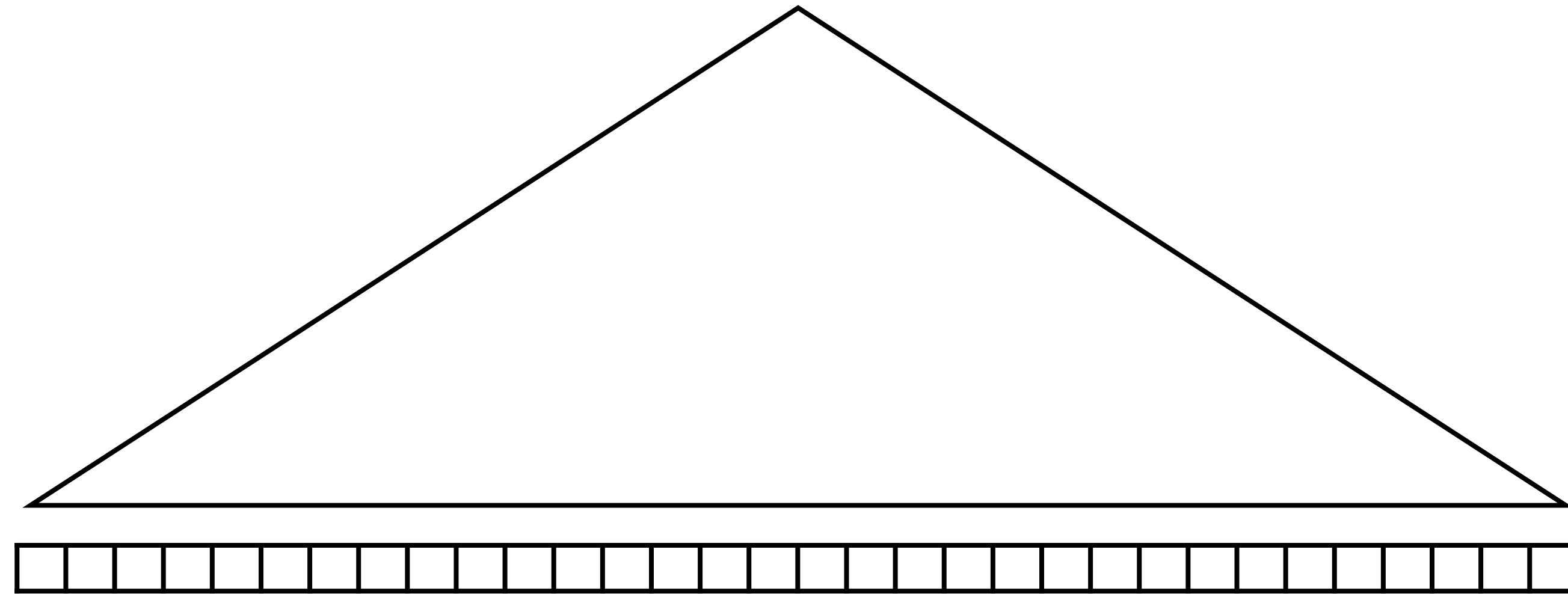
# Simple Solutions

---

- Ignore reporting occurrences. Just support existence.
- Assume point range  $[a, a]$ .

# Set Intersection

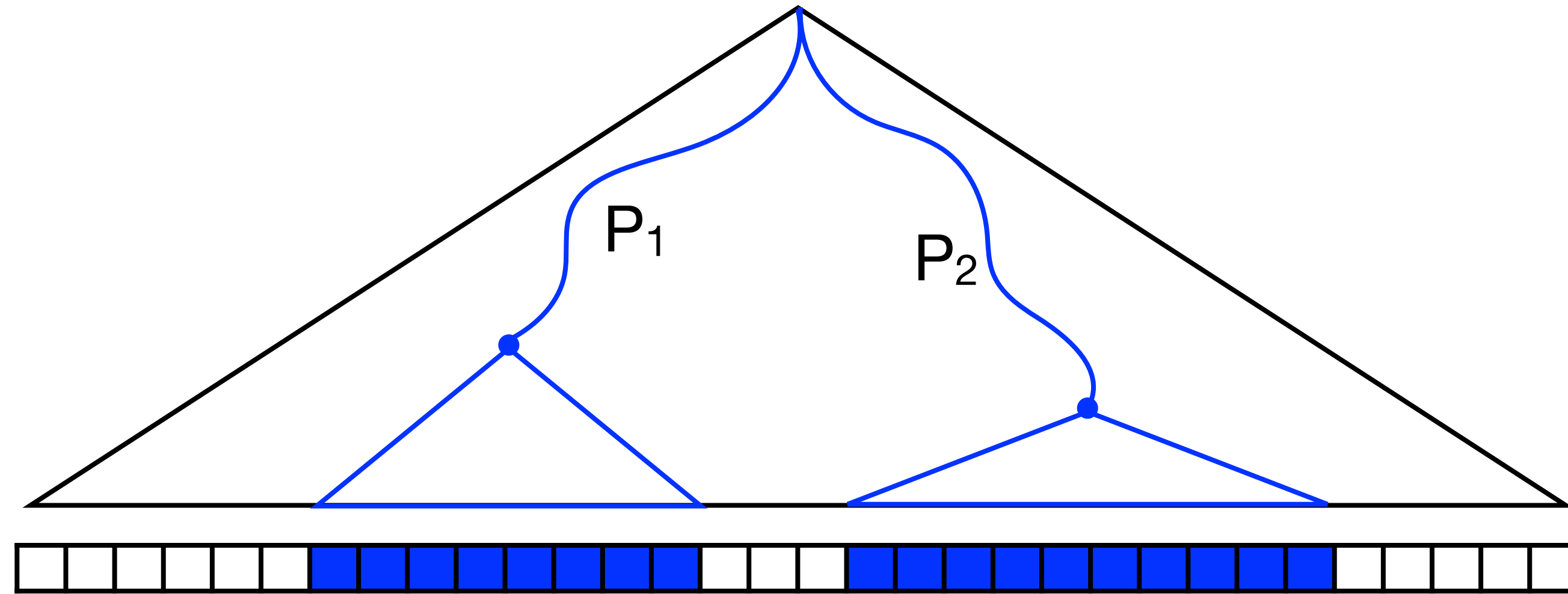
---



- **Data structure.**
  - Suffix tree.
  - Suffix array.
- $\Rightarrow O(n)$  space.

# Set Intersection

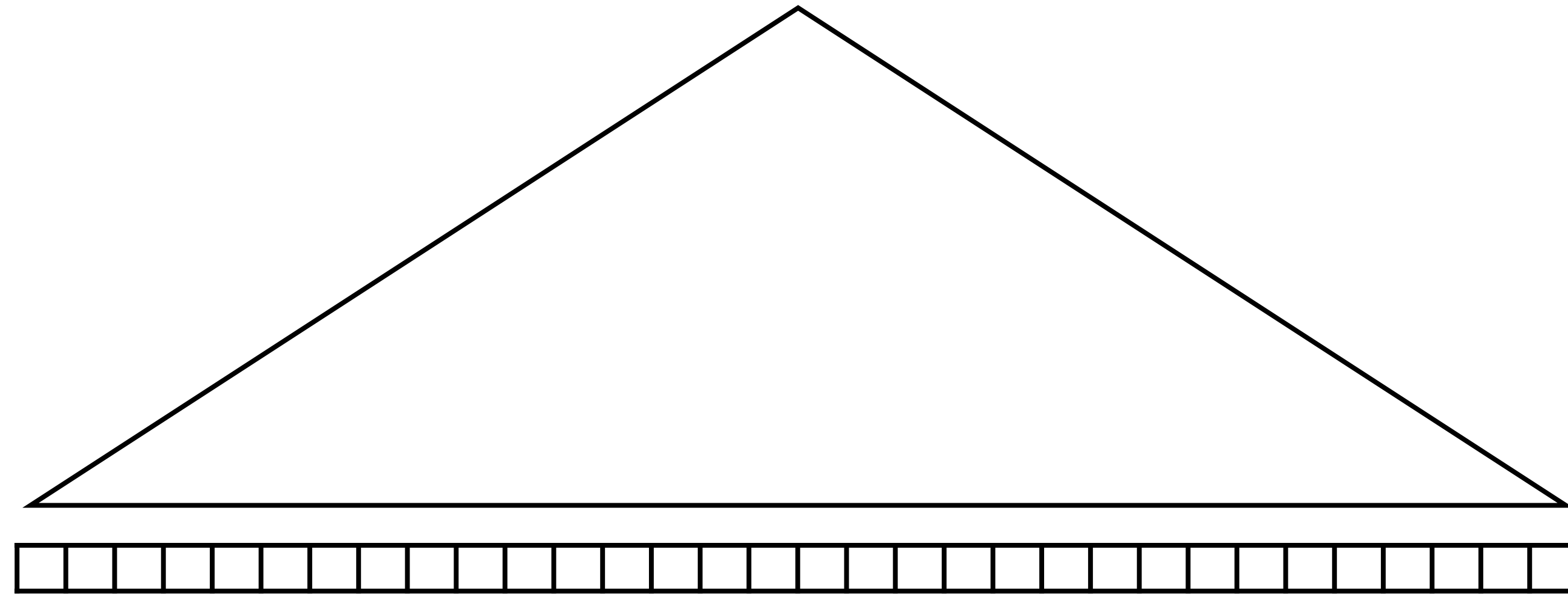
---



- $\text{Query}(P_1, P_2, \alpha)$ .
  - Identify suffix array ranges of  $P_1$  and  $P_2$ .
  - Scan suffix array ranges in sorted order.
  - Merge with respect to  $\alpha$ .
- $\Rightarrow O(|P_1| + |P_2| + n) = O(n)$  time.

# Tabulation

---

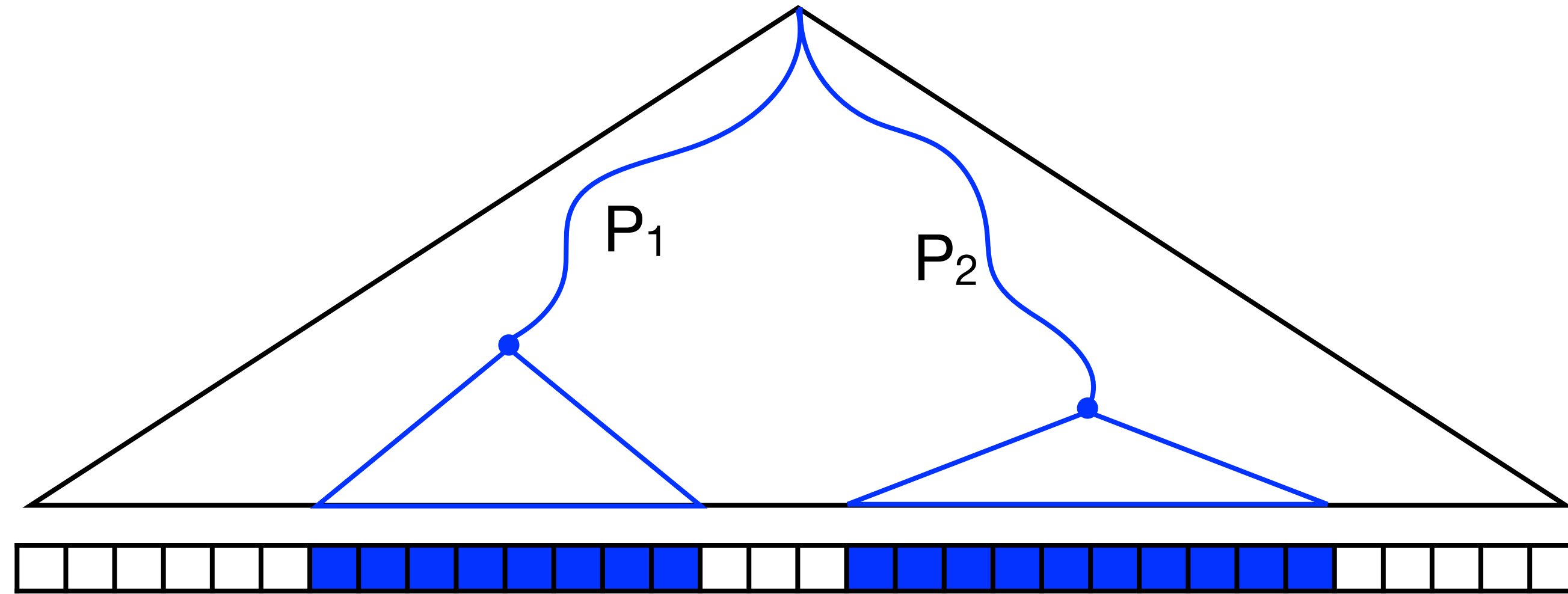


v <sub>1</sub>	v <sub>2</sub>	0	no
v <sub>1</sub>	v <sub>2</sub>	1	yes
v <sub>1</sub>	v <sub>3</sub>	2	no

⋮

- **Data structure.**
  - Suffix tree.
  - Suffix array
  - Table with answers to queries for all pairs of nodes and gaps.
- $\Rightarrow O(n^3)$  space.

# Tabulation



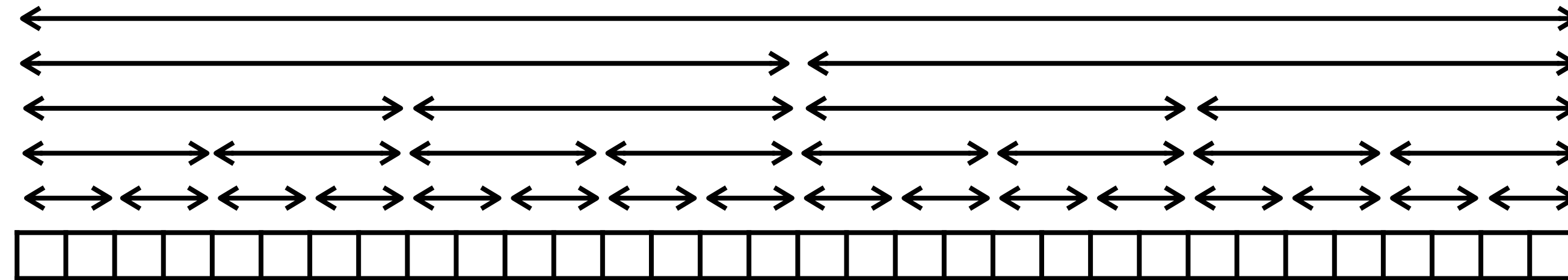
$v_1$	$v_2$	0	no
$v_1$	$v_2$	1	yes
$v_1$	$v_3$	2	no
⋮			

- $\text{Query}(P_1, P_2, a)$ .
  - Identify nodes for  $P_1$  and  $P_2$ .
  - Lookup in table.
- $\Rightarrow O(|P_1| + |P_2|)$  time.



# Improved Tabulation

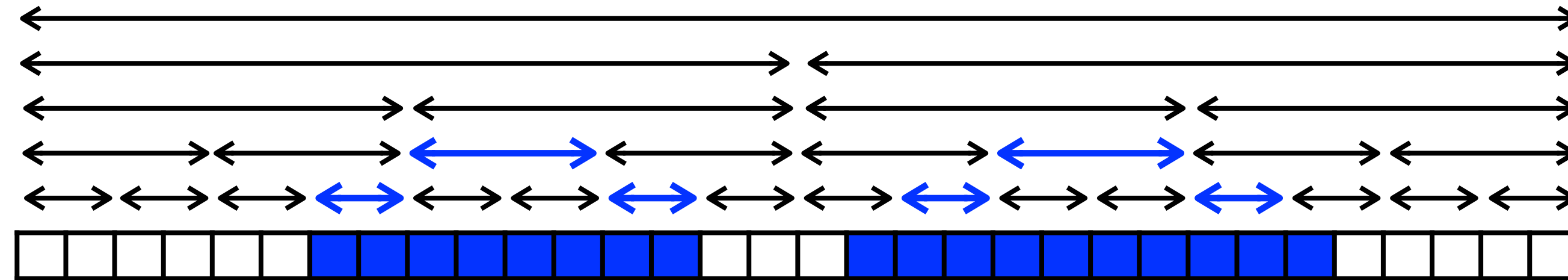
---



- **Data structure.**
  - Build sets for the dyadic intervals of the suffix array.
  - For each pair of dyadic intervals store all pairwise distances.
- Total size of set for the dyadic intervals is  $O(n \log n) \Rightarrow O((n \log n)^2) = \tilde{O}(n^2)$  space.

# Improved Tabulation

---



- $\text{Query}(P_1, P_2, \alpha)$ .
  - Cover suffix array ranges with  $O(\log n)$  dyadic intervals.
  - Query all  $O(\log^2 n)$  pairs.
- $\Rightarrow O(|P_1| + |P_2| + \log^2 n)$  time.

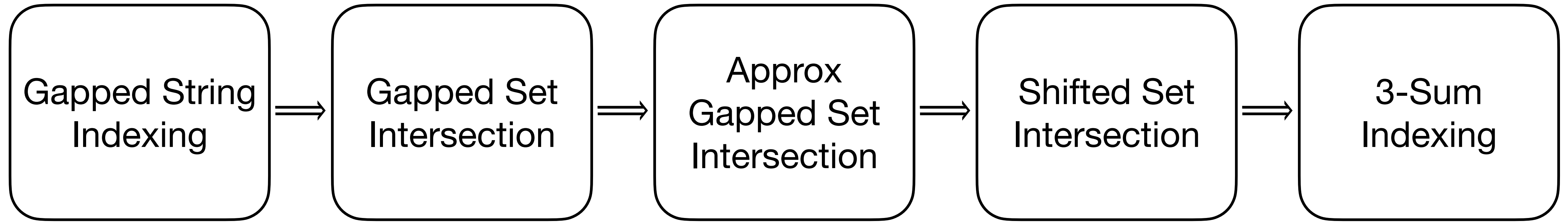
	Space	Time
Set Intersection	$O(n)$	$O(n)$
Tabulation	$\tilde{O}(n^2)$	$\tilde{O}( P_1  +  P_2 )$
<b>New</b>	$\tilde{O}(n^{2-\delta/3})$	$\tilde{O}( P_1  +  P_2  + n^\delta)$

Question: Can we get subquadratic space **and** sublinear query time?

# 3-Sum Indexing

---

- Preprocess sets  $A$  and  $B$  of size  $n$ .
- Query( $z$ ): decide if there is  $a \in A$  and  $b \in B$  such that  $a + b = z$ .
  
- **Theorem** [Golovnev et al., STOC 2020]
  - 3-sum indexing with  $\tilde{O}(n^{2-\delta/3})$  space and  $\tilde{O}(n^\delta)$  query time.



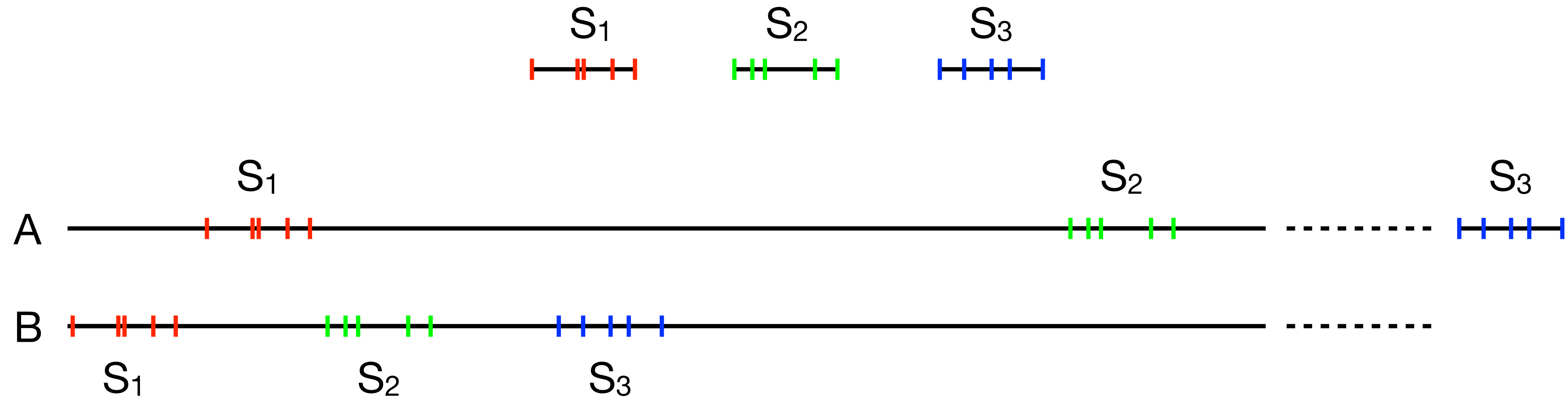
# Shifted Set Intersection

---

- Preprocess sets  $S_1, S_2, \dots, S_k$  of total size  $n$ .
- Query( $i, j, d$ ): decide if there is  $x \in S_i$  and  $y \in S_j$  such that  $y - x = d$ .

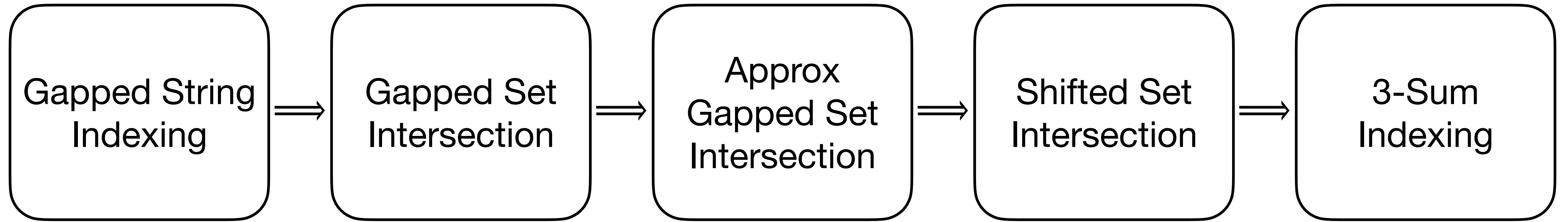
# Shifted Set Intersection $\implies$ 3-Sum Indexing

---



- **Reduction.**

- Layout sets  $S_1, S_2, \dots, S_k$  in A and B to avoid the same differences.
- Scale shifted set intersection query according to  $i$  and  $j \implies$  3-sum indexing query.

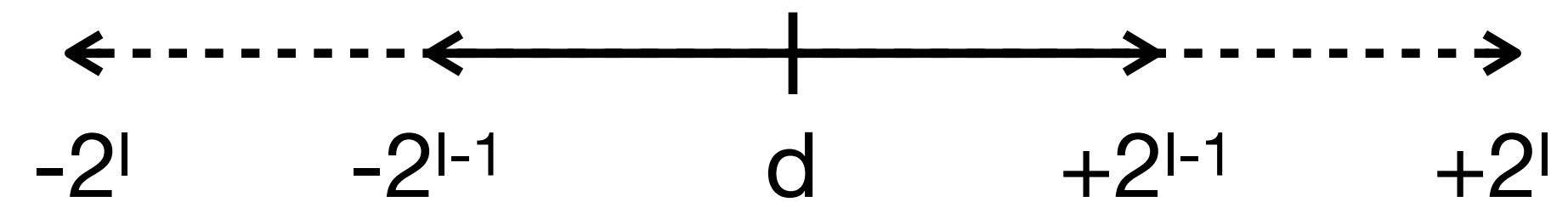




# Approximate Gapped Set Intersection

---

- Preprocess sets  $S_1, S_2, \dots, S_k$  of total size  $n$ .
- Query( $i, j, d, l$ ):
  - Yes: if there is  $x \in S_i$  and  $y \in S_j$  such that  $y - x = d \pm 2^{l-1}$ .
  - No: if there is **no**  $x \in S_i$  and  $y \in S_j$  such that  $y - x = d \pm 2^l$



# Approximate Gapped Set Intersection $\Rightarrow$ Shifted Set Intersection

---

$$S_i = \{1, 2, 4, 5, 8, 12, 13, 17, \dots\}$$

$$\tilde{S}_i^1 = \{0, 1, 2, 4, 6, 8, \dots\}$$

$$\tilde{S}_i^2 = \{0, 1, 2, 3, 4, \dots\}$$

$\vdots$

- **Reduction.**
  - For each set  $S_i$ , construct “approximate” sets by dividing by powers of two and rounding down.
  - Approximate gapped set intersection query  $\Rightarrow O(1)$  shifted set intersection queries.

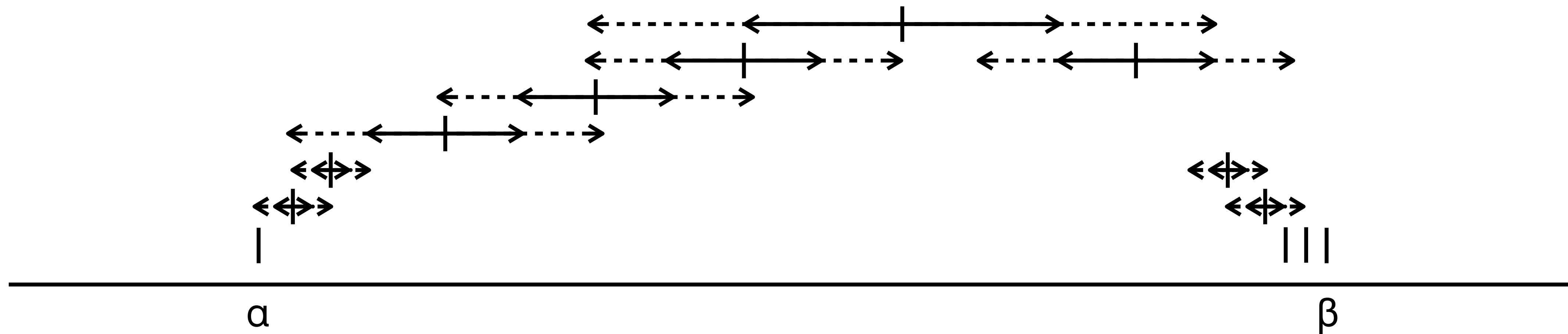
# Gapped Set Intersection

---

- Preprocess sets  $S_1, S_2, \dots, S_k$  of total size  $n$ .
- Query( $i, j, [\alpha, \beta]$ ): decide if there is  $x \in S_i$  and  $y \in S_j$  such that  $y - x \in [\alpha, \beta]$ .

# Gapped Set Intersection $\implies$ Approximate Gapped Set Intersection

---



- **Reduction.**

- Store approximate gapped set intersection structure.
- Gapped set intersection query by covering  $[a, \beta]$  interval with  $O(\log n)$  approximate gapped set intersection queries.

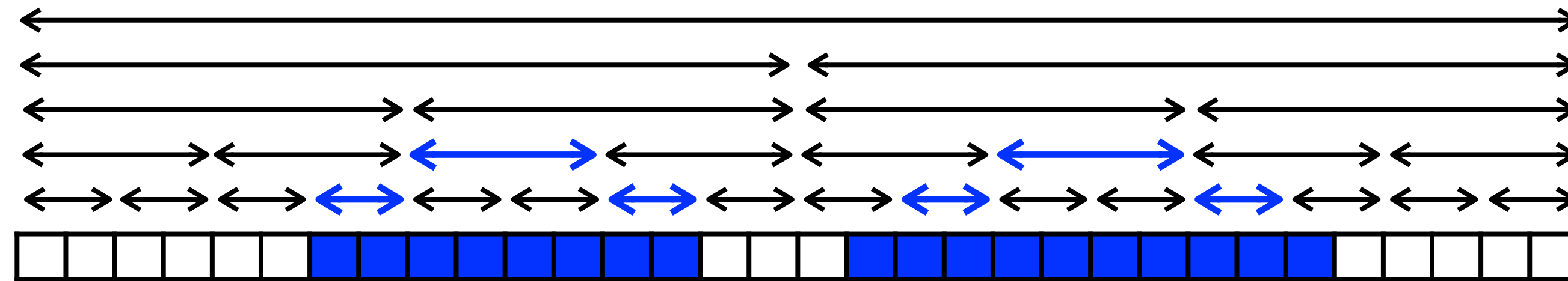
# Gapped String Indexing

---

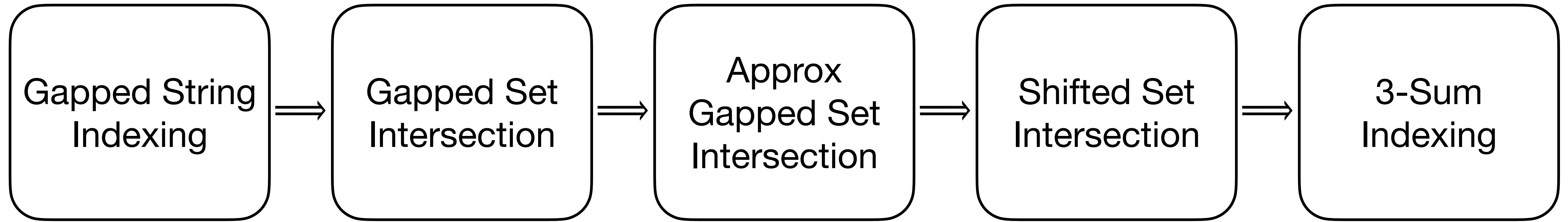
- Preprocess string  $S$  of length  $n$ .
- Query( $P_1, P_2, \alpha, \beta$ ): decide if there is occurrence of  $P_1$  and  $P_2$  in  $S$  whose distance is in  $[\alpha, \beta]$ .

# Gapped String Indexing $\implies$ Gapped Set Intersection

---



- **Reduction.**
  - Store gapped set intersection structure for dyadic intervals of suffix array.
  - Gapped string indexing query  $\implies$  gapped set intersection on covering intervals.



# Gapped String Indexing

---

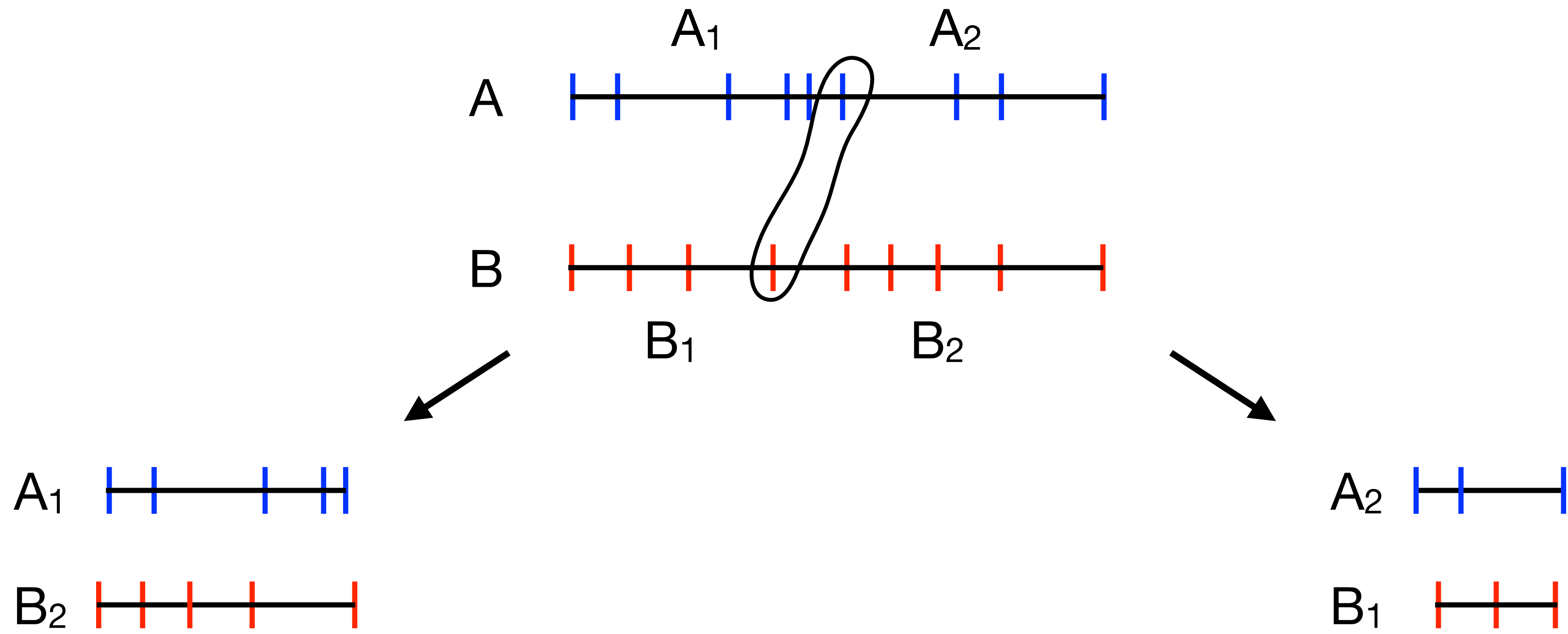
- **Theorem.**
  - Gapped string indexing with  $\tilde{O}(n^{2-\delta/3})$  space and  $\tilde{O}(n^\delta)$  query time.
  
- What about reporting?



# 3-Sum Indexing with Reporting

---

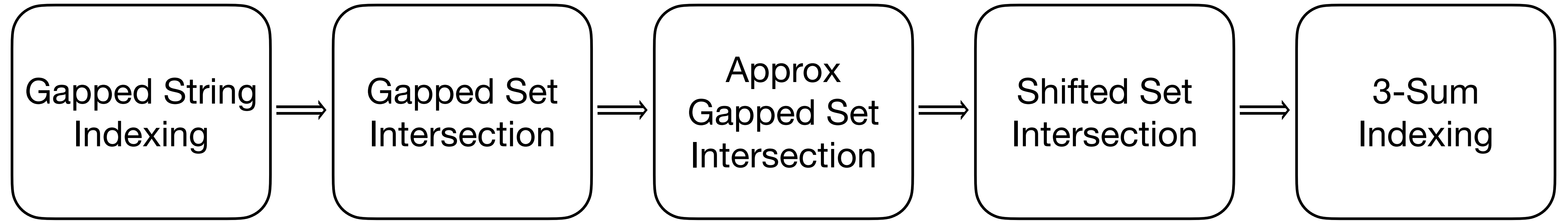
- Preprocess sets  $A$  and  $B$  of size  $n$ .
- Query( $z$ ): report **all pairs**  $a \in A$  and  $b \in B$  such that  $a + b = z$ .
  
- **Algorithm idea.**
  - 3-sum existence query returns a **certificate**.
  - Output certificate and recurse on subproblems.



# 3-Sum Indexing with Reporting

---

- **Theorem.**
  - 3-sum indexing with reporting with  $\tilde{O}(n^{2-\delta/3})$  space and  $\tilde{O}(n^\delta (\text{occ}+1))$  query time.



# 3-Sum Indexing with Reporting

---

- **Theorem.**

- 3-sum indexing with reporting with  $\tilde{O}(n^{2-\delta/3})$  space and  $\tilde{O}(n^\delta (\text{occ}+1))$  query time.

- **Theorem.**

- Gapped string indexing with reporting with  $\tilde{O}(n^{2-\delta/3})$  space and  $\tilde{O}(|P_1| + |P_2| + n^\delta (\text{occ}+1))$  query time.

# Gapped String Indexing

---

- **Conclusion.**

- Gapped string indexing with reporting with  $\tilde{O}(n^{2-\delta/3})$  space and  $\tilde{O}(|P_1| + |P_2| + n^\delta (\text{occ}+1))$  query time.

- **Other results.**

- Alternative trade-off for gapped string indexing.
- New trade-off for jumbled indexing.
- Better trade-offs for one-sided intervals.

- **Open problems**

- Can we take advantage of structure in gapped string indexing?