

# Range Minimum Queries and Lowest Common Ancestor

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# Range Minimum Queries and Lowest Common Ancestor

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- Range Minimum Queries (RMQ) and Lowest Common Ancestor (LCA)
- RMQ
  - Simple solutions
  - Better solution
  - 2-level solution
- Reduction between RMQ and LCA
- Dynamic RMQ

# Range Minimum Queries

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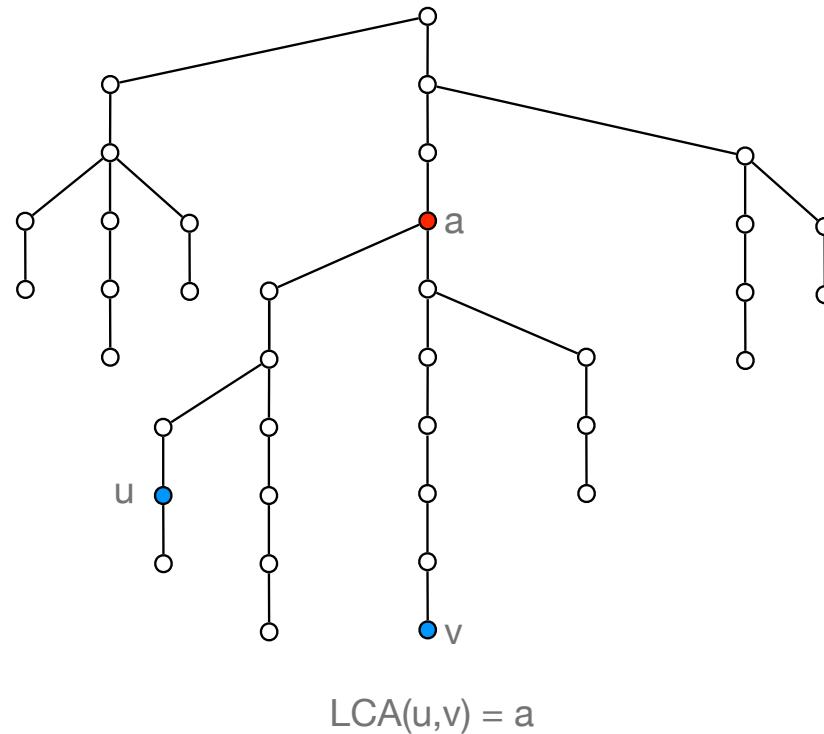
- **Range minimum query problem.** Preprocess array  $A[1\dots n]$  of integers to support
  - $\text{RMQ}(i,j)$ : return the (entry of) minimum element in  $A[i\dots j]$ .

0	1	2	3	4	5	6	7	8	9
1	7	12	8	2	5	1	4	8	3

- $\text{RMQ}(2,5) = 2$  (index 4)
- Basic (extreme) solutions
  - [Linear search](#):
    - Space:  $O(n)$ . Only keep array (no extra space)
    - Time:  $O(j-i) = O(n)$
  - [Save all possible answers](#): Precompute and save all answers in a table.
    - Space:  $O(n^2)$  pairs  $\Rightarrow O(n^2)$  space
    - Time:  $O(1)$

# Lowest Common Ancestor

- **Lowest common ancestor problem.** Preprocess rooted tree  $T$  with  $n$  nodes to support
  - $\text{LCA}(u,v)$ : return the lowest common ancestor of  $u$  and  $v$ .



# Lowest Common Ancestor

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- Basic (extreme) solutions
  - **Linear search**: Follow paths to root and mark when you visit a node.
    - Space:  $O(n)$ . Only keep tree (no extra space)
    - Time:  $O(\text{depth of tree}) = O(n)$
  - **Save all possible answers**: Precompute and save all answers in a table.
    - Space:  $O(n^2)$  pairs  $\Rightarrow O(n^2)$  space
    - Time:  $O(1)$

# RMQ and LCA

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- [Outline.](#)
  - Can solve both RMQ and LCA in linear space and constant time.
    - First solution to RMQ
    - Solution to a special case of RMQ.
  - See that RMQ and LCA are equivalent (can reduce one to the other both ways).

# RMQ

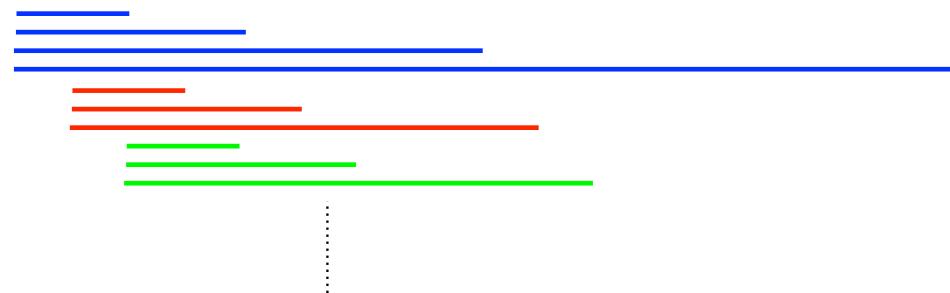
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Sparse table solution

# RMQ: Sparse table solution

- Save the result for all intervals of length a power of 2.

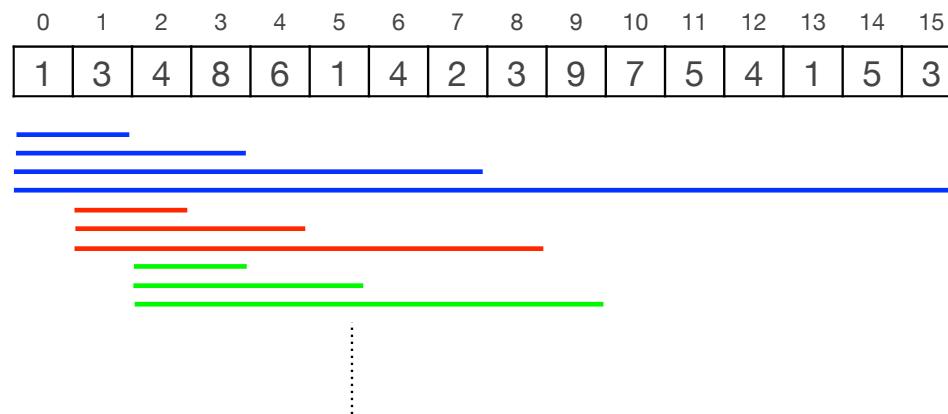
0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
1	3	4	8	6	1	4	2	3	9	7	5	4	1	5	3



	0	1	2	3	4
0	1	1	1	1	1
1	3	3	3	1	
2	4	4	1	1	
3	8	6	1	1	
4	6	1	1	1	
5	1	1	1	1	
6	4	2	2	1	
7	2	2	2	1	
8	3	3	3	1	
9	9	7	4		
10	7	5	1		
11	5	4	1		
12	4	1	1		
13	1	1			
14	5	3			
15	3				

# RMQ: Sparse table solution

- Save the result for all intervals of length a power of 2.



- Space:  $O(n \log n)$

	0	1	2	3	4
0	1	1	1	1	1
1	3	3	3	1	
2	4	4	1	1	
3	8	6	1	1	
4	6	1	1	1	
5	1	1	1	1	
6	4	2	2	1	
7	2	2	2	1	
8	3	3	3	1	
9	9	7	4		
10	7	5	1		
11	5	4	1		
12	4	1	1		
13	1	1			
14	5	3			
15	3				

# RMQ: Sparse table solution

- Save the result for all intervals of length a power of 2.

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
1	3	4	8	6	1	4	2	3	9	7	5	4	1	5	3



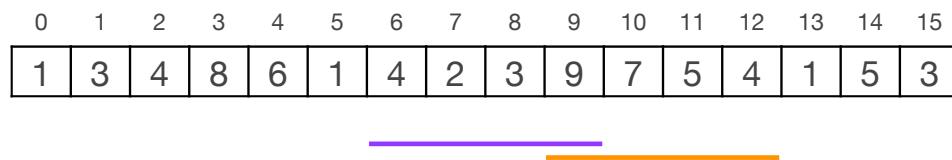
RMQ(6, 12) = ?

	0	1	2	3	4
0	1	1	1	1	1
1	3	3	3	1	
2	4	4	1	1	
3	8	6	1	1	
4	6	1	1	1	
5	1	1	1	1	
6	4	2	2	1	
7	2	2	2	1	
8	3	3	3	1	
9	9	7	4		
10	7	5	1		
11	5	4	1		
12	4	1	1		
13	1	1			
14	5	3			
15	3				

- Space:  $O(n \log n)$

# RMQ: Sparse table solution

- Save the result for all intervals of length a power of 2.



$$\text{RMQ}(6, 12) = \min(\text{RMQ}(6,9), \text{RMQ}(9,12)) = \min(2,4) = 2$$

	0	1	2	3	4
0	1	1	1	1	1
1	3	3	3	1	
2	4	4	1	1	
3	8	6	1	1	
4	6	1	1	1	
5	1	1	1	1	
6	4	2	2	1	
7	2	2	2	1	
8	3	3	3	1	
9	9	7	4		
10	7	5	1		
11	5	4	1		
12	4	1	1		
13	1	1			
14	5	3			
15	3				

- Space:  $O(n \log n)$

# RMQ: Sparse table solution

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- Query:

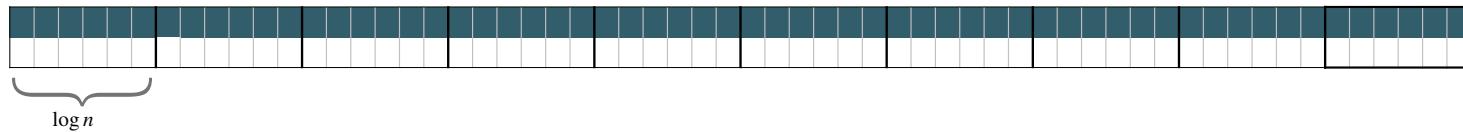


- Any interval is the union of two power of 2 intervals.
  - $k$  largest number such that  $2^k \leq j - i + 1$ .
  - Lookup results for the two intervals and take minimum.
- Time:  $O(1)$
- Space:  $O(n \log n)$
- Preprocessing time:  $O(n \log n)$ 
  - To compute results for length  $2^i$  use results for length  $2^{i-1}$ .

# Reducing Space

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- Divide A into blocks of size  $\log n$

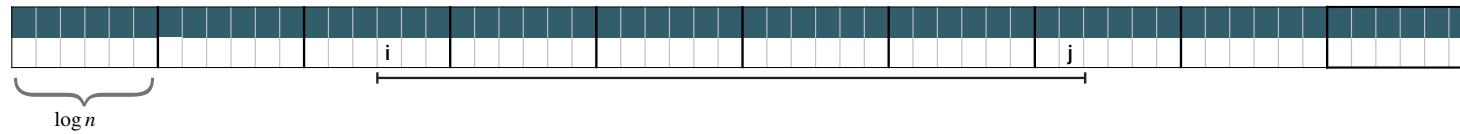


- 2-level data structure:
  - A data structure on minimums of the blocks
  - A data structure for queries inside blocks.

# Reducing Space

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- Divide A into blocks of size  $\log n$

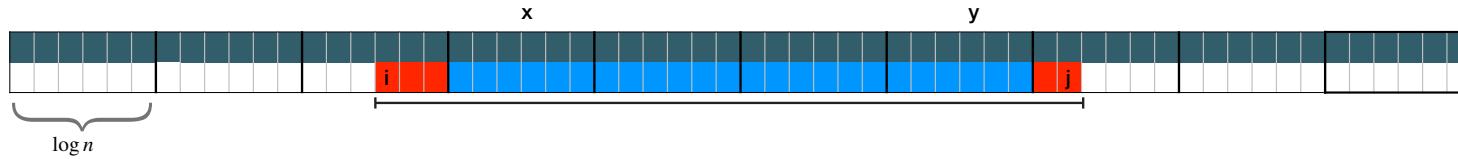


- 2-level data structure:
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# Reducing Space

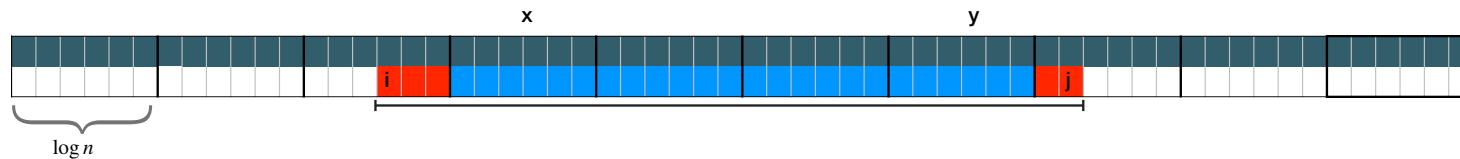
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- Divide A into blocks of size  $\log n$



- 2-level data structure:
  - A data structure on minimums of the blocks
  - A data structure for queries inside blocks.
- $\text{RMQ}(i,j) = \min\{ \text{RMQ on blocks } x \text{ to } y, \text{RMQ inside block } x-1, \text{RMQ inside block } y+1 \}.$

# Reducing Space: Data Structure on Blocks



- Two new arrays.
  - Array  $A'$ : minimum from each block
  - $P$ : position in  $A$  where  $A'[i]$  occurs.
- Sparse table data structure on  $A'$ .
- Space:  $O(|A'| \log |A'|) = O\left(\frac{n}{\log n} \cdot \log \frac{n}{\log n}\right) = O(n)$ .
- Time:  $O(1)$

$A'$  : block number  
min of block

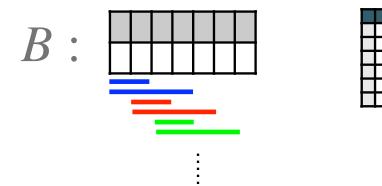
$P$  : block number  
Position of  $A'[i]$  in  $A$

# Reducing Space: Data Structure Inside Blocks



- For each block B:

- Sparse table



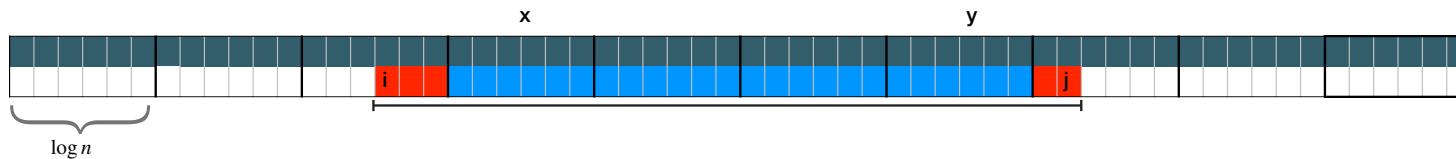
- Sparse table data structure on B.

- Space:  $O(|B| \log |B|) = O\left(\frac{\log n}{\log \log n} \cdot \log \frac{\log n}{\log \log n}\right) = O(\log n \cdot \log \log n)$ .

- Time:  $O(1)$

- Total space for all blocks:  $O\left(\frac{n}{\log n} \cdot \log n \cdot \log \log n\right) = O(n \log \log n)$ .

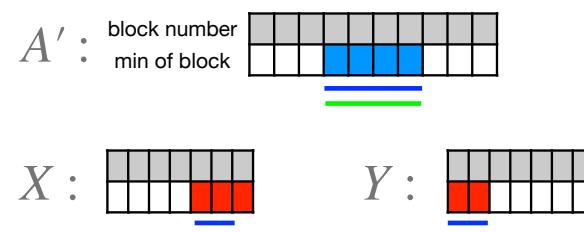
# Reducing Space: Total Space



- Sparse table on minimum of blocks:  $O(n)$
- Sparse table on each block:  $O(n \log \log n)$
- Gives solution using
  - Space:  $O(n \log \log n)$  space.
  - Time:  $O(1) + O(1) = O(1)$ .

3 sparse  
table  
lookups

$\min\{\cdot, \cdot, \cdot\}$



$\pm 1$ RMQ

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## RMQ: Linear space

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- Consider  $\pm 1$ RMQ: consecutive entries differ by 1.

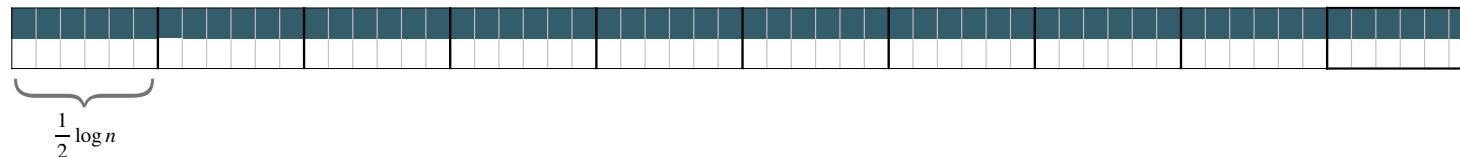
0	1	2	3	4	5	6	7	8	9	10	11	12
4	5	6	5	4	3	2	3	2	3	4	5	4

- 2-level solution: Combine
    - $O(n \log n)$  space,  $O(1)$  time
    - $O(n^2)$  space,  $O(1)$  time.
- ↓
- $O(n)$  space,  $O(1)$  time.

## $\pm 1$ RMQ

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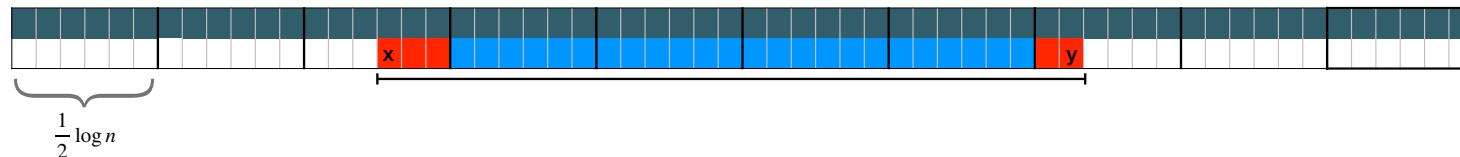
- Divide A into blocks of size  $\frac{1}{2} \log n$



- 2-level data structure:
  - Sparse table on blocks
  - Tabulation inside blocks.

## $\pm 1$ RMQ

- Divide A into blocks of size  $\frac{1}{2} \log n$

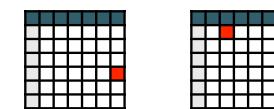


- 2-level data structure:
  - Sparse table on blocks
  - Tabulation inside blocks.
- $\text{RMQ}(x,y) = \min\{ \text{RMQ on blocks } i \text{ to } j, \text{RMQ inside block } i-1, \text{RMQ inside block } j+1 \}$ .

## $\pm 1$ RMQ: Data structure inside blocks



- Precompute and save all answers for each block.
- Gives solution using
  - Space:  $O(n)$  + space for precomputed tables.
  - Time:  $O(1) + O(1) + O(1) = O(1)$ .



↑  
2 table  
lookups      ↑  
sparse  
table      ↑  
 $\min\{\cdot, \cdot, \cdot\}$

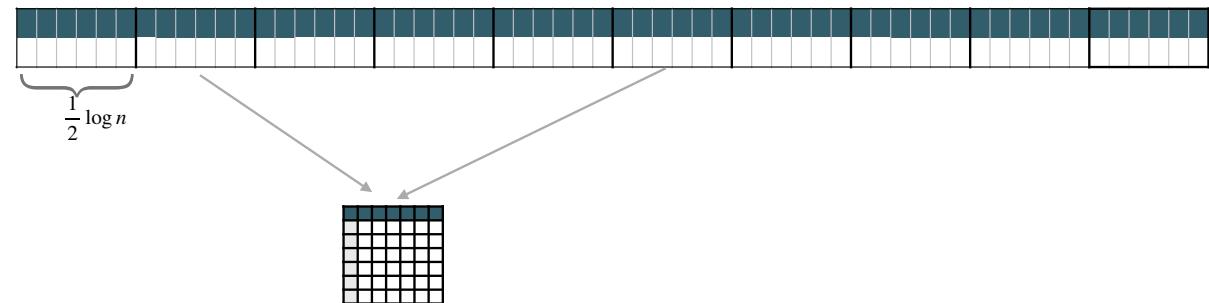
## $\pm 1$ RMQ: Storing the precomputed tables

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- Naively:  $\log^2 n$  for each table  $\Rightarrow n \log n$  space. 😞
- **Observation:** If  $X[i] = Y[i] + c$  then all RMQ answers are the same for X and Y.
  - $X = [7, 6, 5, 6, 5, 4]$
  - $Y = [3, 2, 1, 2, 1, 0]$
- Describe block by sequence of +1s and -1s:
  - $X = Y = -1, -1, +1, -1, -1$ .
- How many different block descriptions are there?
  - length of sequence =  $\frac{1}{2} \log n - 1$
  - #sequences =  $2^{\frac{1}{2} \log n - 1} \leq \sqrt{n}$ .

## $\pm 1$ RMQ: Data structure inside blocks

- Precompute and save all answers for each normalized block.
- Size of a table:  $O(\log^2 n)$
- For each block save which precomputed table it uses.



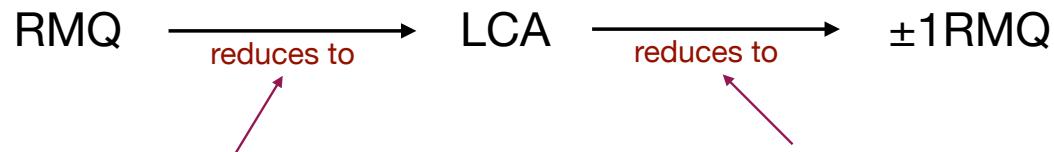
- Space:  $O(\sqrt{n} \cdot \log^2 n) + O(n/\log n) = O(n)$
- Plugging into 2-level solution:
  - Space:  $O(n) + \text{space for precomputed tables} = O(n)$ .

LCA and RMQ

# RMQ and LCA

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- We will show



If there is a solution to LCA using  $s(n)$  space and  $t(n)$  time, then there is a solution to RMQ using  $O(s(n))$  space and  $O(t(n))$  time.

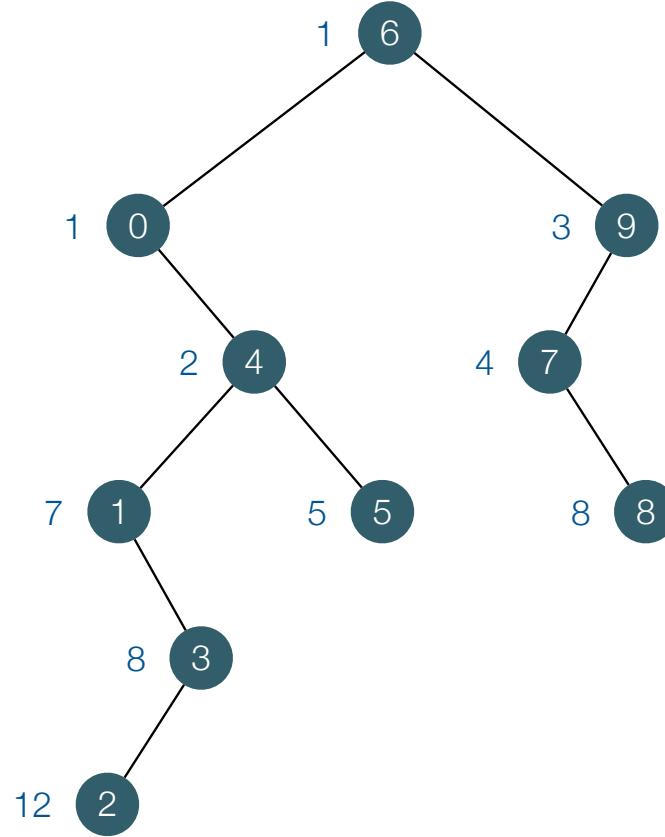
If there is a solution to  $\pm 1\text{RMQ}$  using  $s(n)$  space and  $t(n)$  time, then there is a solution to LCA using  $O(s(n))$  space and  $O(t(n))$  time.

# RMQ to LCA: Cartesian Tree

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0	1	2	3	4	5	6	7	8	9
1	7	12	8	2	5	1	4	8	3

Blue horizontal bars indicate the ranges for RMQ queries: [0, 4], [1, 3], [2, 5], [3, 7], [4, 9].

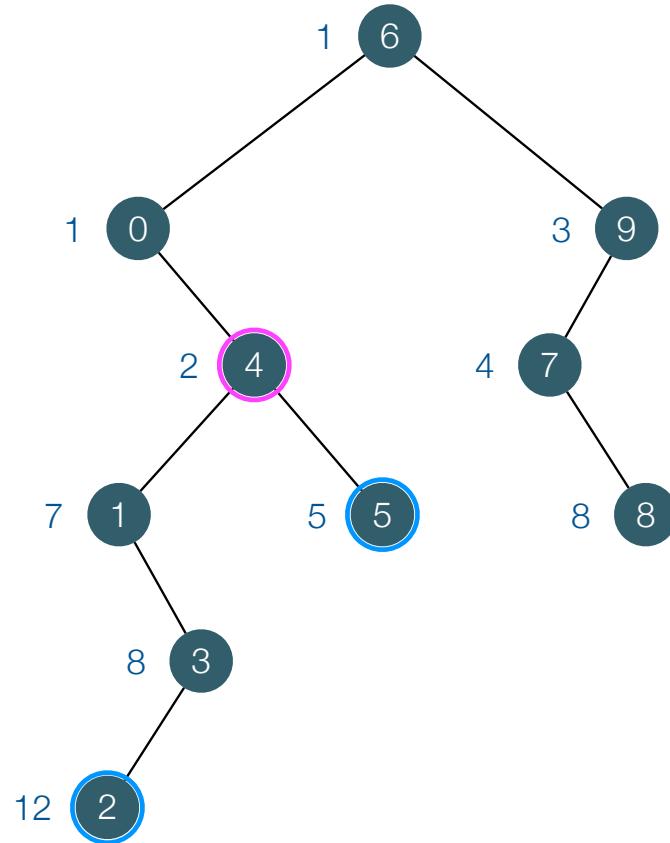


# RMQ to LCA: Cartesian Tree

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0	1	2	3	4	5	6	7	8	9
1	7	12	8	2	5	1	4	8	3

- RMQ(2,5)



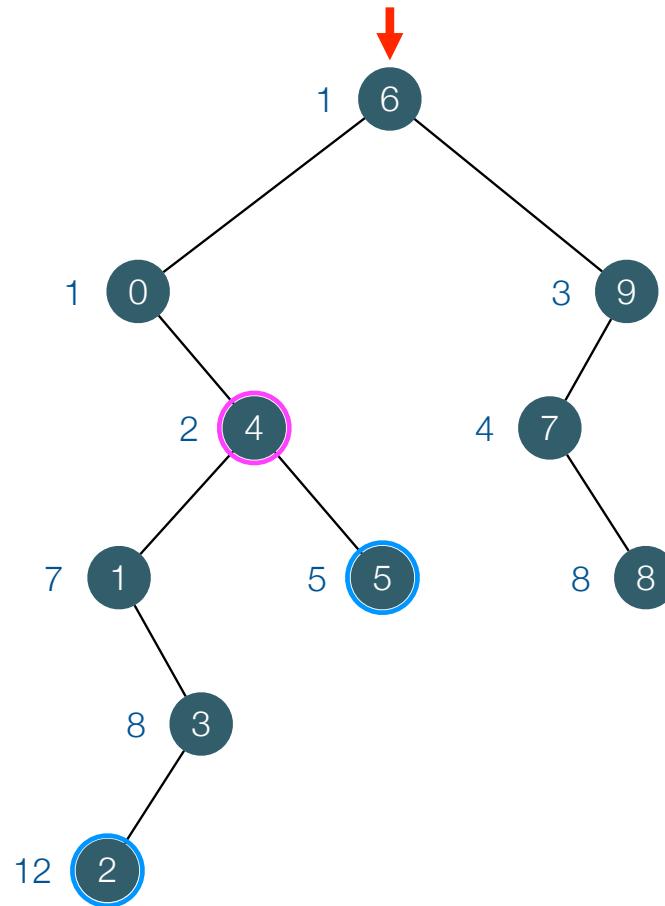
## RMQ to LCA: Cartesian Tree

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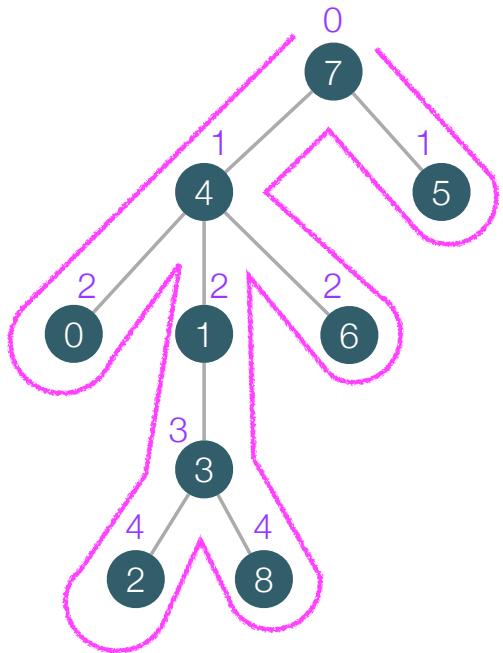
0	1	2	3	4	5	6	7	8	9
1	7	12	8	2	5	1	4	8	3

Diagram illustrating the mapping from RMQ to LCA. A red arrow points from index 6 in the array to node 6 in the tree. Below the array, two blue horizontal bars indicate the range [2, 5].

- $\text{RMQ}(2,5) = \text{LCA}(2,5)$



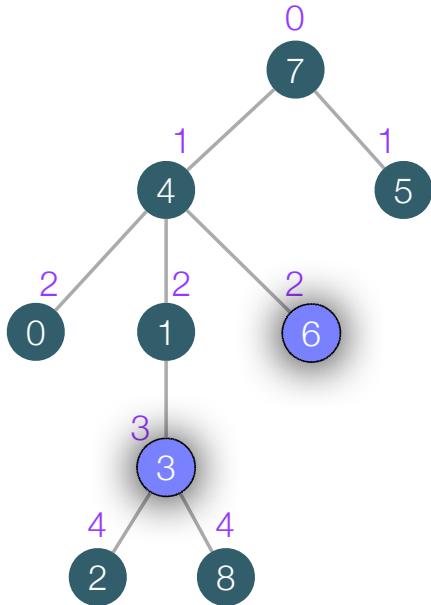
# LCA to $\pm 1$ RMQ



- $E = \begin{bmatrix} 0 & 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 & 9 & 10 & 11 & 12 & 13 & 14 & 15 & 16 \\ 7 & 4 & 0 & 4 & 1 & 3 & 2 & 3 & 8 & 3 & 1 & 4 & 6 & 4 & 7 & 5 & 7 \end{bmatrix}$
- $A = \begin{bmatrix} 0 & 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 & 9 & 10 & 11 & 12 & 13 & 14 & 15 & 16 \\ 0 & 1 & 2 & 1 & 2 & 3 & 4 & 3 & 4 & 3 & 2 & 1 & 2 & 1 & 0 & 1 & 0 \end{bmatrix}$
- $R = \begin{bmatrix} 0 & 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 \\ 2 & 4 & 6 & 5 & 1 & 15 & 12 & 0 & 8 \end{bmatrix}$

- $E$ : Euler tour representation. preorder walk, write id of node when met.
- $A$ : depth of node node in  $E[i]$ .
- $R$ : first occurrence in  $E$  of node with id  $i$
- $LCA(i, j) = E[\text{RMQ}_A(R[i], R[j])]$ .

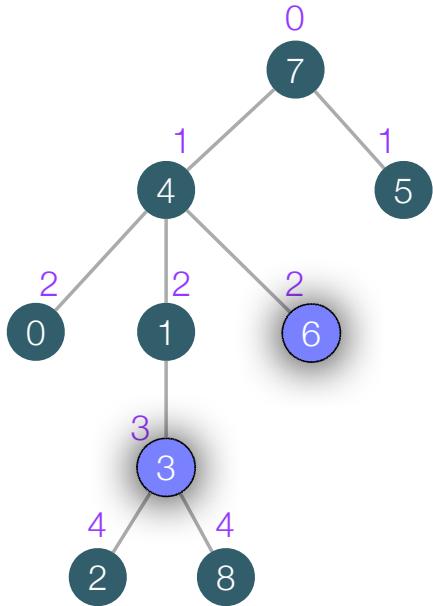
# LCA to $\pm 1$ RMQ



- $E = \begin{bmatrix} 0 & 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 & 9 & 10 & 11 & 12 & 13 & 14 & 15 & 16 \\ 7 & 4 & 0 & 4 & 1 & 3 & 2 & 3 & 8 & 3 & 1 & 4 & 6 & 4 & 7 & 5 & 7 \end{bmatrix}$
- $A = \begin{bmatrix} 0 & 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 & 9 & 10 & 11 & 12 & 13 & 14 & 15 & 16 \\ 0 & 1 & 2 & 1 & 2 & 3 & 4 & 3 & 4 & 3 & 2 & 1 & 2 & 1 & 0 & 1 & 0 \end{bmatrix}$
- $R = \begin{bmatrix} 0 & 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 \\ 2 & 4 & 6 & 5 & 1 & 15 & 12 & 0 & 8 \end{bmatrix}$

- **E: Euler tour representation.** preorder walk, write id of node when met.
- **A: depth of node node in E[i].**
- **R: first occurrence in E of node with id i**
- **LCA(i, j) = E[RMQ<sub>A</sub>(R[i], R[j])].**

# LCA to $\pm 1$ RMQ

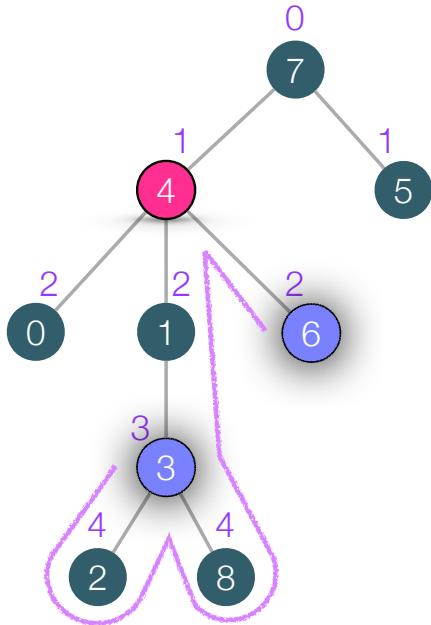


- $E = \begin{bmatrix} 0 & 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 & 9 & 10 & 11 & 12 & 13 & 14 & 15 & 16 \\ 7 & 4 & 0 & 4 & 1 & 3 & 2 & 3 & 8 & 3 & 1 & 4 & 6 & 4 & 7 & 5 & 7 \end{bmatrix}$
- $A = \begin{bmatrix} 0 & 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 & 9 & 10 & 11 & 12 & 13 & 14 & 15 & 16 \\ 0 & 1 & 2 & 1 & 2 & 3 & 4 & 3 & 4 & 3 & 2 & 1 & 2 & 1 & 0 & 1 & 0 \end{bmatrix}$
- $R = \begin{bmatrix} 0 & 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 \\ 2 & 4 & 6 & 5 & 1 & 15 & 12 & 0 & 8 \end{bmatrix}$

- $E$ : Euler tour representation. preorder walk, write id of node when met.
- $A$ : depth of node node in  $E[i]$ .
- $R$ : first occurrence in  $E$  of node with id  $i$
- $LCA(i, j) = E[\text{RMQ}_A(R[i], R[j])]$ .

# LCA to $\pm 1$ RMQ

---



- $E =$ 

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
7	4	0	4	1	3	2	3	8	3	1	4	6	4	7	5	7
- $A =$ 

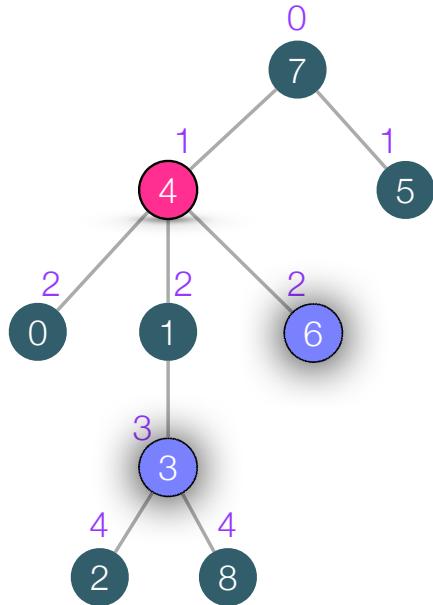
0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
0	1	2	1	2	3	4	3	4	3	2	1	2	1	0	1	0
- $R =$ 

0	1	2	3	4	5	6	7	8
2	4	6	5	1	15	12	0	8

- $E$ : Euler tour representation. preorder walk, write id of node when met.
- $A$ : depth of node node in  $E[i]$ .
- $R$ : first occurrence in  $E$  of node with id  $i$
- $LCA(i, j) = E[RMQ_A(R[i], R[j])]$ .

# LCA to $\pm 1$ RMQ

---



- $E =$

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
7	4	0	4	1	3	2	3	8	3	1	4	6	4	7	5	7

- $A =$

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
0	1	2	1	2	3	4	3	4	3	2	1	2	1	0	1	0

- $R =$

0	1	2	3	4	5	6	7	8
2	4	6	5	1	15	12	0	8

$$|E| = 2n - 1$$

$$|A| = 2n - 1$$

$$|R| = n$$

Space  $O(n)$ :

- 3 tables
- $\pm 1$ RMQ data structure on table of length  $2n$

- $E$ : Euler tour representation. preorder walk, write id of node when met.
- $A$ : depth of node node in  $E[i]$ .
- $R$ : first occurrence in  $E$  of node with id  $i$
- $LCA(i, j) = E[\text{RMQ}_A(R[i], R[j])]$ .

# RMQ to LCA to $\pm$ RMQ

---

0	1	2	3	4	5	6	7	8	9
1	7	12	8	2	5	1	4	8	3

E

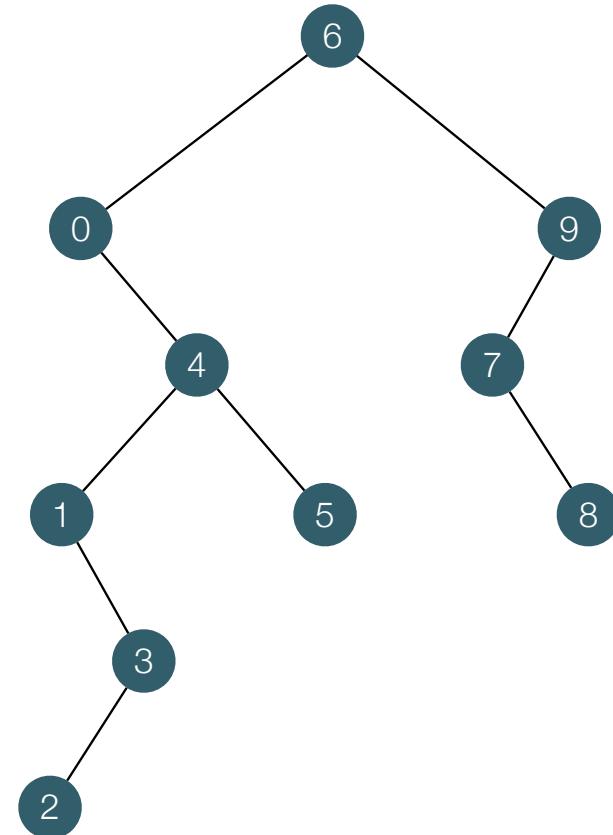
0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18

A

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18

R

0	1	2	3	4	5	6	7	8	9



# RMQ to LCA: Cartesian Tree

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0	1	2	3	4	5	6	7	8	9
1	7	12	8	2	5	1	4	8	3

E

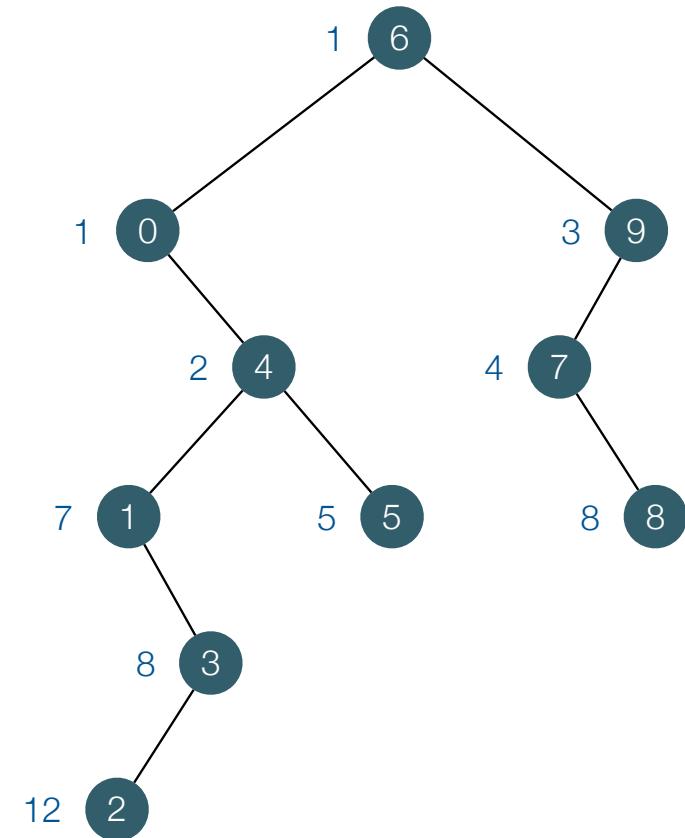
0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18
6	0	4	1	3	2	3	1	4	5	4	0	6	9	7	8	7	9	6

A

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18
0	1	2	3	4	5	4	3	2	3	2	1	0	1	2	3	2	1	0

R

0	1	2	3	4	5	6	7	8	9
1	3	5	4	2	9	0	14	15	13



# RMQ to LCA: Cartesian Tree

---

0	1	2	3	4	5	6	7	8	9
1	7	12	8	2	5	1	4	8	3

E

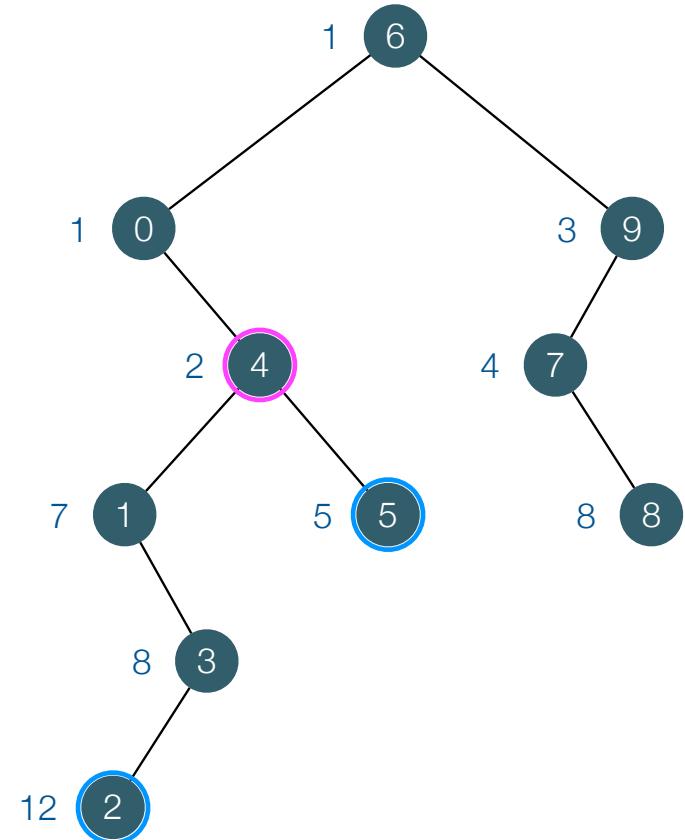
0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18
6	0	4	1	3	2	3	1	4	5	4	0	6	9	7	8	7	9	6

A

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18
0	1	2	3	4	5	4	3	2	3	2	1	0	1	2	3	2	1	0

R

0	1	2	3	4	5	6	7	8	9
1	3	5	4	2	9	0	14	15	13



- $\text{RMQ}(2,5) = \text{LCA}(2,5) = E[\text{RMQ}_A(R[2], R[5])]$ .

# RMQ to LCA: Cartesian Tree

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0	1	2	3	4	5	6	7	8	9
1	7	12	8	2	5	1	4	8	3

E

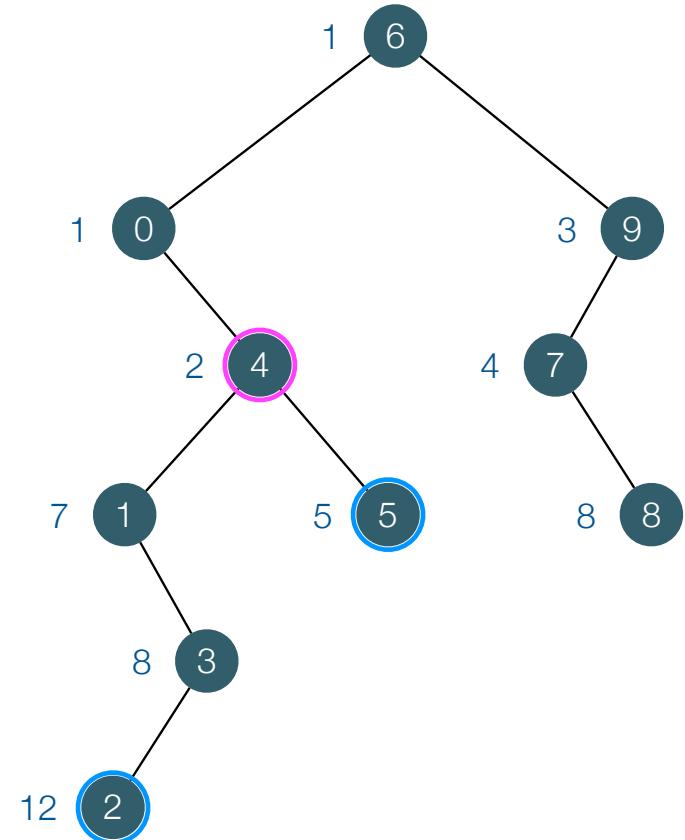
0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18
6	0	4	1	3	2	3	1	4	5	4	0	6	9	7	8	7	9	6

A

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18
0	1	2	3	4	5	4	3	2	3	2	1	0	1	2	3	2	1	0

R

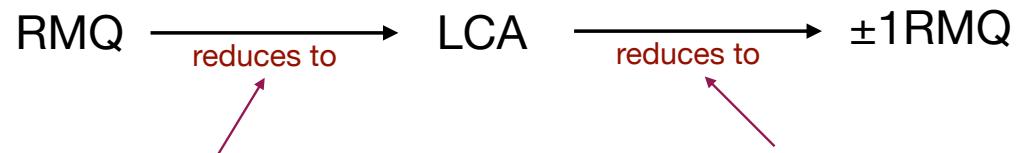
0	1	2	3	4	5	6	7	8	9
1	3	5	4	2	9	0	14	15	13



- $\text{RMQ}(2,5) = \text{LCA}(2,5) = \text{E}[\text{RMQ}_A(\text{R}[2], \text{R}[5])]$ .

# RMQ and LCA

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If there is a solution to LCA using  $s(n)$  space and  $t(n)$  time, then there is a solution to RMQ using  $O(s(n))$  space and  $O(t(n))$  time.

If there is a solution to  $\pm 1\text{RMQ}$  using  $s(n)$  space and  $t(n)$  time, then there is a solution to LCA using  $O(s(n))$  space and  $O(t(n))$  time.

- **Theorem.** RMQ and LCA can be solved in  $O(n)$  space and  $O(1)$  query time.