

## Suffix Trees

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- String Dictionaries
- Tries
- Suffix Trees

Inge Li Gørtz

## String Dictionaries

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- **String dictionary problem.** Let  $S$  be a string of characters from alphabet  $\Sigma$ . Preprocess  $S$  into data structure to support:
  - $\text{search}(P)$ : Return the starting positions of all occurrences of  $P$  in  $S$ .
- **Example.**
  - $S = \text{yabbadabbado}$
  - $\text{search(abba)} = \{1,6\}$

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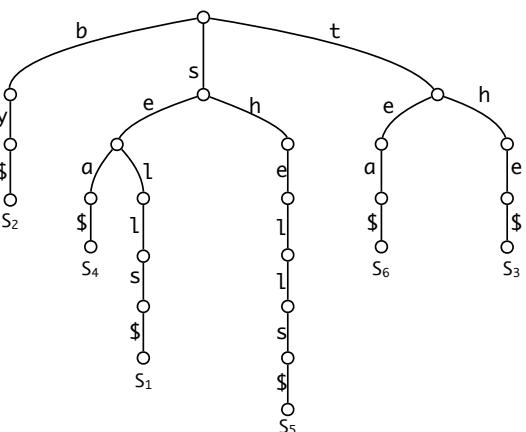
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## Tries

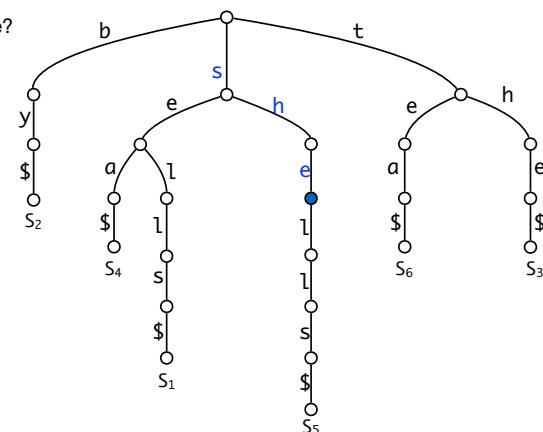
- Text retrieval
- Prefix-free?



- Trie over the strings: sells\$, by\$, the\$, sea\$, shells\$, tea\$, she\$.

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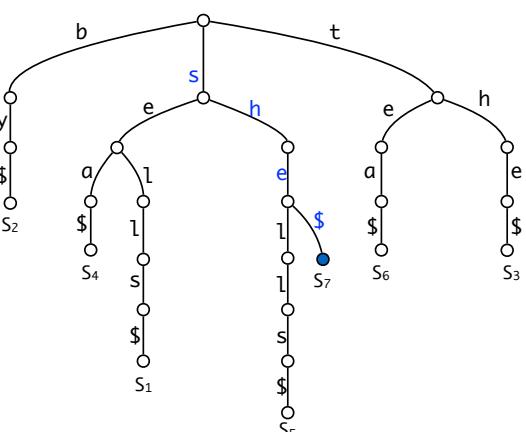
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- Text retrieval
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- Trie over the strings: sells\$, by\$, the\$, sea\$, shells\$, tea\$, she\$.

## Tries

- **Properties of the trie.** A trie  $T$  storing a collection  $S$  of  $s$  strings of total length  $n$  from an alphabet of size  $d$  has the following properties:

- How many children can a node have?
- How many leaves does  $T$  have?
- What is the height of  $T$ ?
- What is the number of nodes in  $T$ ?

## Trie

- Properties of the trie. A trie T storing a collection S of s strings of total length n from an alphabet of size d has the following properties:

- How many children can a node have? at most d

- How many leaves does T have? s

- What is the height of T? length of longest string

- What is the number of nodes in T? O(n)

## Trie

- Search time:  $O(d)$  in each node  $\Rightarrow O(dm)$ .

- $O(m)$  if d constant.

- d not constant: use dictionary

- Perfect hashing  $O(1)$

- Balanced BST:  $O(\log d)$

- Time and space for a trie (for small/constant d):

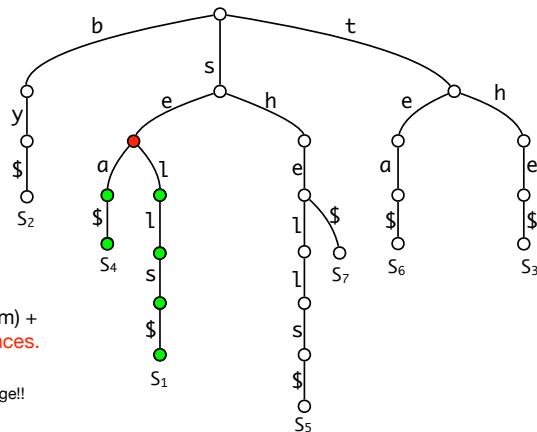
- $O(m)$  for searching for a string of length m.

- $O(n)$  space.

- Preprocessing:  $O(n)$

## Tries

- Prefix search: return all words in the trie starting with "se"



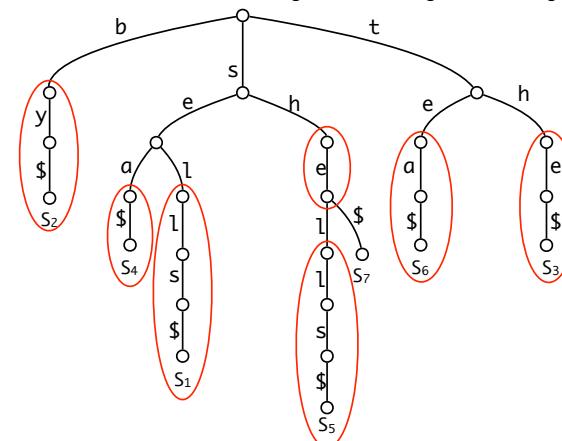
- Time for prefix search:  $O(m)$  + time to report all occurrences.

Could be large!!

- Solution: compact tries.

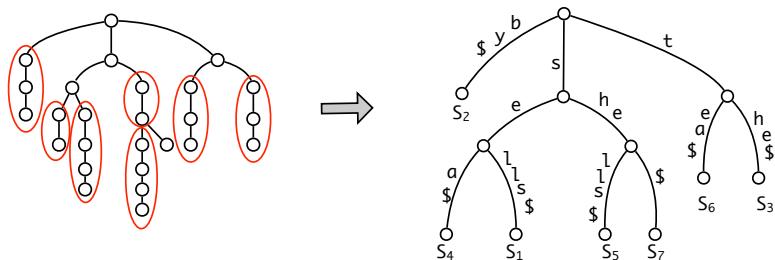
## Tries

- Compact trie. Chains of nodes with a single child is merged into a single node.



## Tries

- **Compact trie.** Chains of nodes with a single child is merged into a single node.



- **Properties of the compact trie.** A compact trie  $T$  storing a collection  $S$  of  $s$  strings of total length  $n$  from an alphabet of size  $d$  has the following properties:
  - Every internal node of  $T$  has at least 2 and at most  $d$  children.
  - $T$  has  $s$  leaves
  - The number of nodes in  $T$  is  $< 2s$ .

## Trie

- **Time and space for a compact trie (constant d).**
  - $O(m)$  for searching for a string of length  $m$ .
  - $O(m + occ)$  for prefix search, where  $occ = \# \text{occurrences}$
  - $O(s)$  space.
  - Preprocessing:  $O(n)$

## Suffix Trees

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## Suffix tree

- **String indexing problem.** Given a string  $S$  of characters from an alphabet  $\Sigma$ . Preprocess  $S$  into a data structure to support
  - $\text{Search}(P)$ : Return starting position of all occurrences of  $P$  in  $S$ .
- Observation: An occurrence of  $P$  is a prefix of a suffix of  $S$ .



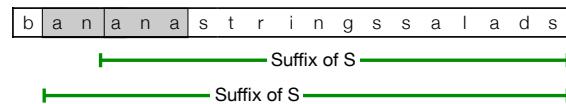
## Suffix tree

- **String indexing problem.** Given a string  $S$  of characters from an alphabet  $\Sigma$ . Preprocess  $S$  into a data structure to support
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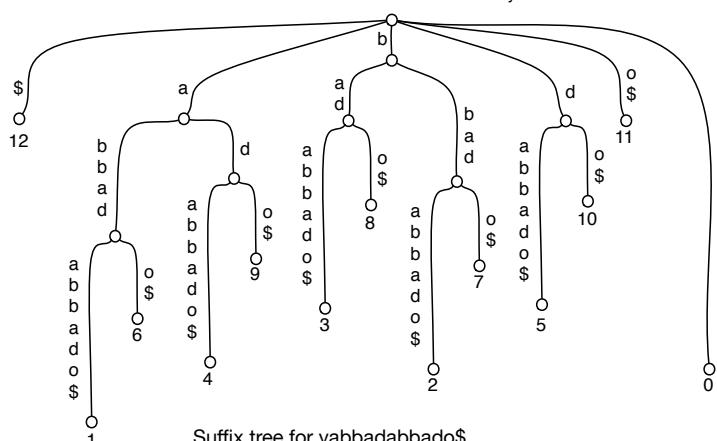
- Example: P = ana.



V

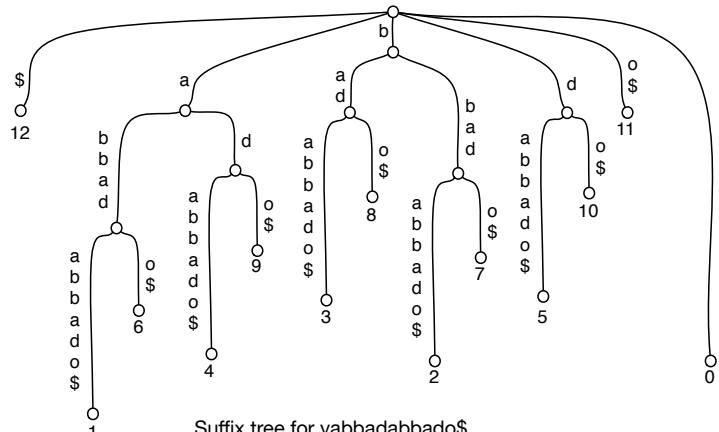
- Suffix trees. The **compact trie** of all suffixes of  $S$ .
  - Store  $S$  and store node labels by reference to  $S$ .

0 1 2 3 4 5 6 7 8 9 10 11 12  
y a b b a d a b b a d o \$



## Suffix Trees

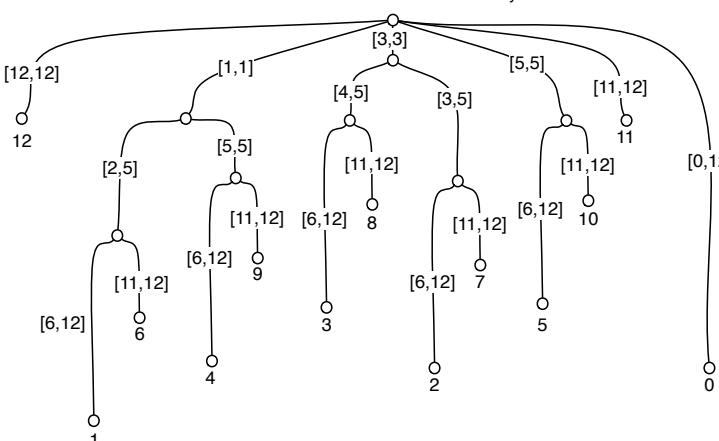
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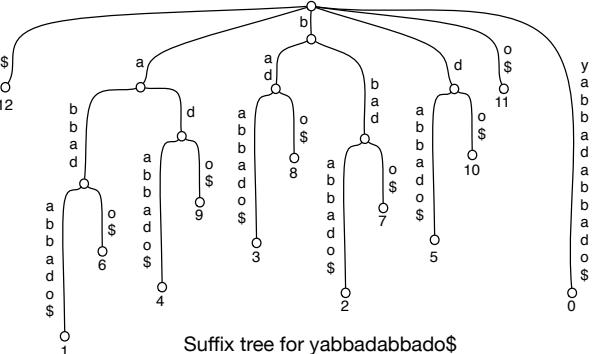
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## Suffix Trees

- Space.
    - Number of edges + space for edge labels
    - $\Rightarrow O(n)$  space
  - Preprocessing.  $O(\text{sort}(n, |\Sigma|))$
  - $\text{sort}(n, |\Sigma|) = \text{time to sort } n \text{ characters from an alphabet } \Sigma.$
  - Search(P):  $O(m \cdot \text{occ})$ .



## Suffix Trees

- Applications.
    - Approximate string matching problems
    - Compression schemes (Lempel-Ziv family, ...)
    - Repetitive string problems (palindromes, tandem repeats, ...)
    - Information retrieval problems (document retrieval, top-k retrieval, ...)
    - ...

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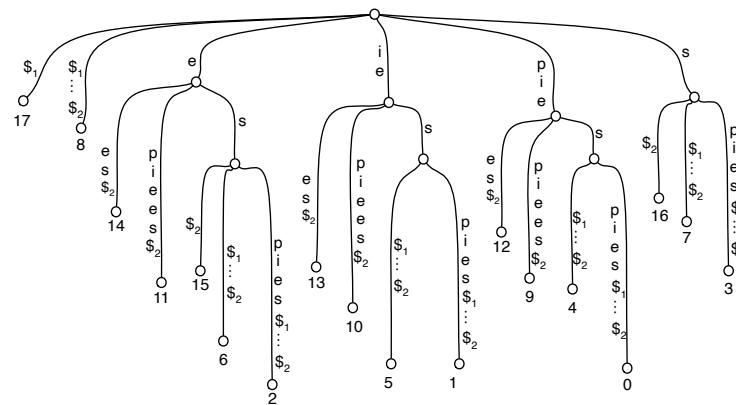
- **Theorem.** We can solve the string dictionary problem in
    - $O(n)$  space and  $sort(n, |\Sigma|)$  preprocessing time.
    - $O(m + occ)$  time for queries.

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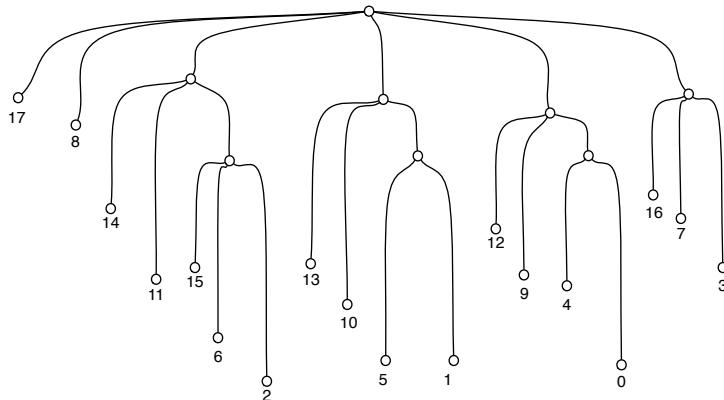
## Longest common substring

- Find longest common substring of strings  $S_1$  and  $S_2$ .
  - Construct the suffix tree over  $S_1\$S_2\$$ .
  - Example. Find longest common substring of **piespies** and **piepiees**:
    - Construct suffix tree of **piespies\$1piepiees\$2**.



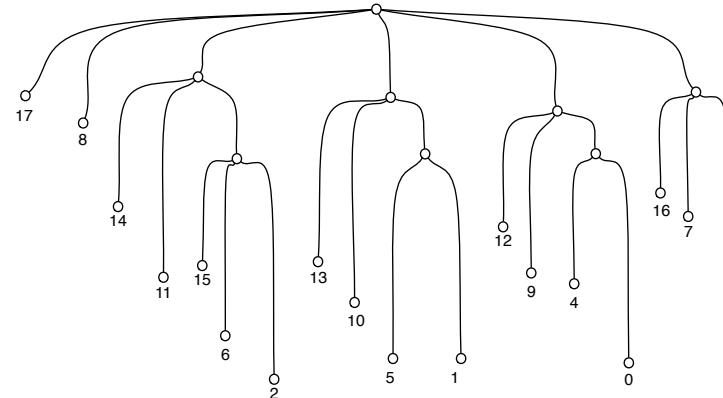
## Longest common substring

- Suffix tree of  $\text{piespies\$}_1\text{piepiees\$}_2$ .



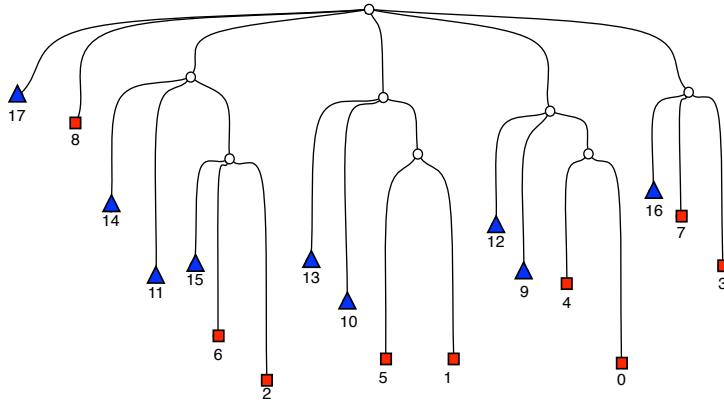
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- Mark leaves:  $\blacksquare = S_1$     $\blacktriangle = S_2$



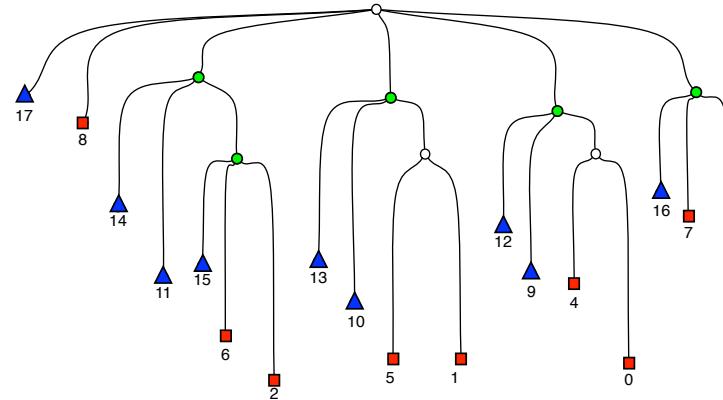
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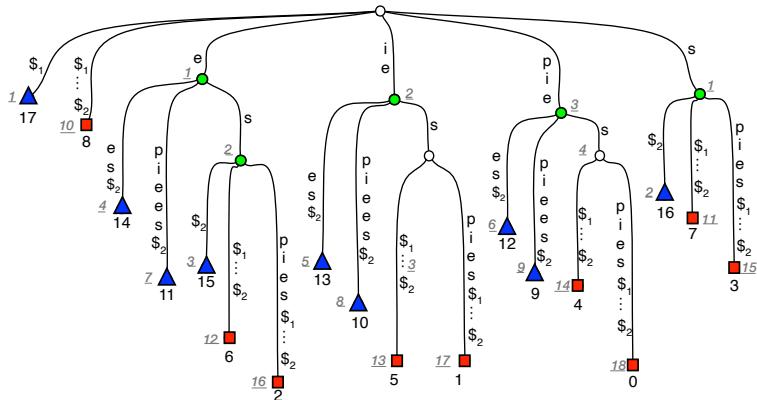
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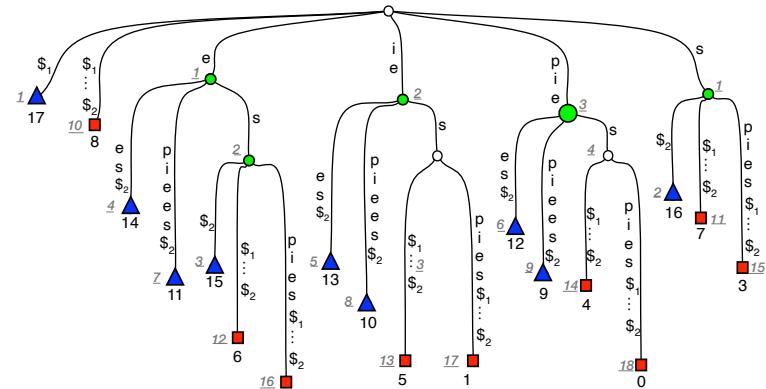
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- Mark leaves: ■ =  $S_1$     ▲ =  $S_2$
- Add string depth.



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- Suffix tree of **piespies\$<sub>1</sub>piepies\$<sub>2</sub>**.
- Mark leaves: ■ =  $S_1$     ▲ =  $S_2$
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## Longest common substring

- Using a suffix tree we can solve the longest common substring problem in linear time (for a constant size alphabets).