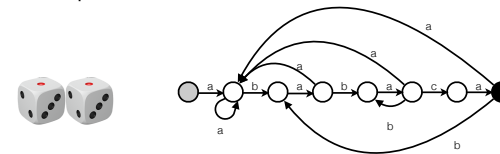
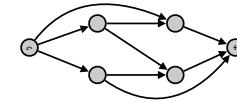
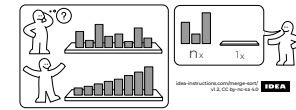


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Inge Li Gørtz

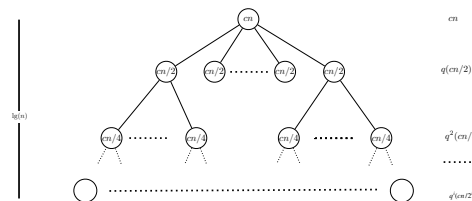
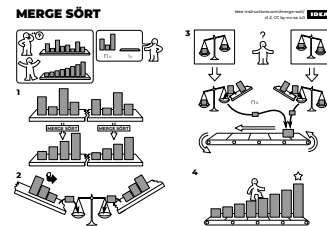
## Contents

- Divide-and-conquer
- Dynamic programming
- Maximum flow in networks
- Matchings and assignment problems
- Data structures:
  - Hash tables
  - Fenwick trees and dynamic arrays
  - Amortised data structures
- String matching
- Randomized algorithms
- NP-completeness



## Divide-and-Conquer

- Algorithms: counting inversions
- Analysis:
  - Recursion trees.
  - Substitution method



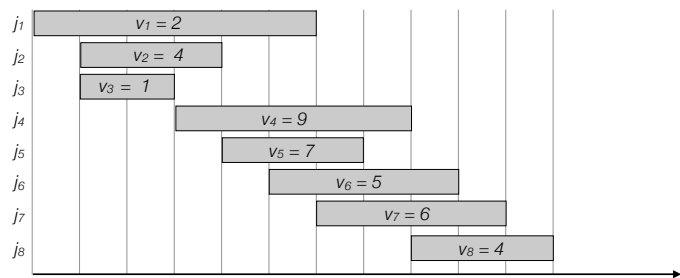
## Dynamic Programming

- **Greedy.** Build solution incrementally, optimizing some local criterion.
- **Divide-and-conquer.** Break up problem into **independent** subproblems, solve each subproblem, and combine to get solution to original problem.
- **Dynamic programming.** Break up problem into **overlapping** subproblems, and build up solutions to larger and larger subproblems.
  - Can be used when the problem have **“optimal substructure”**:
    - *Solution can be constructed from optimal solutions to subproblems*
    - *Use dynamic programming when subproblems overlap.*

## Weighted interval scheduling

### Weighted interval scheduling problem

- $n$  jobs (intervals)
- Job  $i$  starts at  $s_i$ , finishes at  $f_i$  and has weight/value  $v_i$ .
- Goal: Find maximum weight subset of non-overlapping (compatible) jobs.



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## Weighted interval scheduling

- $OPT(j)$  = value of optimal solution to the problem consisting job requests  $1, 2, \dots, j$ .

- **Case 1.**  $OPT(j)$  selects job  $j$

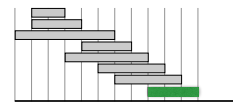
$$OPT(j) = v_j + \text{optimal solution to subproblem on } 1, \dots, p(j)$$

- **Case 2.**  $OPT(j)$  does not select job  $j$

$$OPT = \text{optimal solution to subproblem } 1, \dots, j-1$$

- **Recurrence:**

$$OPT(j) = \begin{cases} 0 & \text{if } j = 0 \\ \max\{v_j + OPT(p(j)), OPT(j-1)\} & \text{otherwise} \end{cases}$$



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## Subset Sum

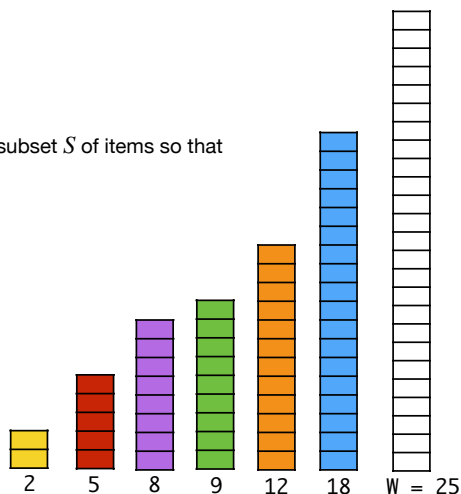
### Subset Sum

- Given  $n$  items  $\{1, \dots, n\}$
- Item  $i$  has weight  $w_i$
- Bound  $W$
- Goal: Select maximum weight subset  $S$  of items so that

$$\sum_{i \in S} w_i \leq W$$

### Example

- $\{2, 5, 8, 9, 12, 18\}$  and  $W = 25$ .
- Solution:  $5 + 8 + 12 = 25$ .



## Subset Sum

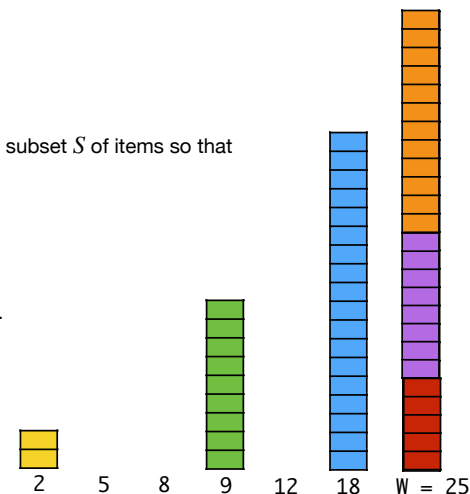
### Subset Sum

- Given  $n$  items  $\{1, \dots, n\}$
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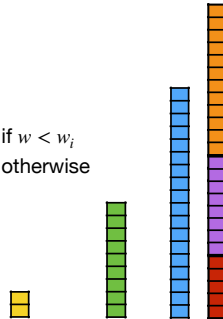


## Subset Sum

- $\mathcal{O}$  = optimal solution
- Consider element  $n$ .
  - Either in  $\mathcal{O}$  or not.
    - $n \notin \mathcal{O}$ : Optimal solution using items  $\{1, \dots, n-1\}$  is equal to  $\mathcal{O}$ .
    - $n \in \mathcal{O}$ : Value of  $\mathcal{O} = w_n$  + weight of optimal solution on  $\{1, \dots, n-1\}$  with capacity  $W - w_n$ .

- Recurrence

$$\text{OPT}(i, w) = \begin{cases} \text{OPT}(i-1, w) & \text{if } w < w_i \\ \max(\text{OPT}(i-1, w), w_i + \text{OPT}(i-1, w - w_i)) & \text{otherwise} \end{cases}$$



## Subset Sum

- Recurrence:

$$\text{OPT}(i, w) = \begin{cases} \text{OPT}(i-1, w) & \text{if } w < w_i \\ \max(\text{OPT}(i-1, w), w_i + \text{OPT}(i-1, w - w_i)) & \text{otherwise} \end{cases}$$

- Example

- $\{1, 2, 5, 8, 9\}$  and  $W = 12$

|   |   |   |   |   |   |   |   |   |   |   |   |    |    |    |   |
|---|---|---|---|---|---|---|---|---|---|---|---|----|----|----|---|
| 9 | 5 |   |   |   |   |   |   |   |   |   |   |    |    |    |   |
| 8 | 4 |   |   |   |   |   |   |   |   |   |   |    |    |    |   |
| 5 | 3 | 0 | 1 | 2 | 3 | 3 | 5 | 6 |   |   |   |    |    |    |   |
| 2 | 2 | 0 | 1 | 2 | 3 | 3 | 3 | 3 | 3 | 3 | 3 | 3  | 3  | 3  | 3 |
| 1 | 1 | 0 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1  | 1  | 1  | 1 |
| - | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0  | 0  | 0  | 0 |
|   |   | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 |   |

## Knapsack

- $\mathcal{O}$  = optimal solution
- Consider element  $n$ .
  - Either in  $\mathcal{O}$  or not.
    - $n \notin \mathcal{O}$ : Optimal solution using items  $\{1, \dots, n-1\}$  is equal to  $\mathcal{O}$ .
    - $n \in \mathcal{O}$ : Value of  $\mathcal{O} = v_n$  + value on optimal solution on  $\{1, \dots, n-1\}$  with capacity  $W - w_n$ .



- Recurrence

$$\text{OPT}(i, w) = \begin{cases} \text{OPT}(i-1, w) & \text{if } w < w_i \\ \max(\text{OPT}(i-1, w), v_i + \text{OPT}(i-1, w - w_i)) & \text{otherwise} \end{cases}$$

- Running time  $O(nW)$

## Sequence alignment

- How similar are ACAAGTC and CATGT.
- Align them such that
  - all items occurs in at most one pair.
  - no crossing pairs.
- Cost of alignment
  - gap penalty  $\delta$
  - mismatch cost for each pair of letters  $\alpha(p, q)$ .
- Goal: find minimum cost alignment.
- Input to problem: 2 strings A and Y, gap penalty  $\delta$ , and penalty matrix  $\alpha(p, q)$ .

|                    |                      |
|--------------------|----------------------|
| A C A A G T C      | A C A A - G T C      |
| - C A T G T -      | - C A - T G T -      |
| 1 mismatch, 2 gaps | 0 mismatches, 4 gaps |

## Sequence alignment

$$SA(X_i, Y_j) = \begin{cases} j\delta & \text{if } i = 0 \\ i\delta & \text{if } j = 0 \\ \min \begin{cases} \alpha(x_i, y_j) + SA(X_{i-1}, Y_{j-1}), \\ \delta + SA(X_i, Y_{j-1}), \\ \delta + SA(X_{i-1}, Y_j) \end{cases} & \text{otherwise} \end{cases}$$

|   |  |   |   |   |   |   |   |   |
|---|--|---|---|---|---|---|---|---|
|   |  | A | C | A | A | G | T | C |
| C |  |   |   |   |   |   |   |   |
| A |  |   |   |   |   |   |   |   |
| T |  |   |   |   |   |   |   |   |
| G |  |   |   |   |   |   |   |   |
| T |  |   |   |   |   |   |   |   |

$\delta = 1$

$SA(X_5, Y_3)$   
Depends on ?

Penalty matrix

|   |   |   |   |   |
|---|---|---|---|---|
|   | A | C | G | T |
| A | 0 | 1 | 2 | 2 |
| C | 1 | 0 | 2 | 3 |
| G | 2 | 2 | 0 | 1 |
| T | 2 | 3 | 1 | 0 |

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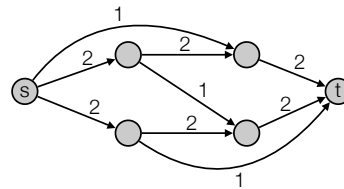
## Dynamic programming

- **First formulate the problem recursively.**
  - Describe the *problem* recursively in a clear and precise way.
  - Give a recursive formula for the problem.
- **Bottom-up**
  - Identify all the subproblems.
  - Choose a memoization data structure.
  - Identify dependencies.
  - Find a good evaluation order.
- **Top-down**
  - Identify all the subproblems.
  - Choose a memoization data structure.
  - Identify base cases.
  - Remember to save results and check before computing.

## Network Flow

### • Network flow:

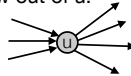
- graph  $G=(V,E)$ .
- Special vertices  $s$  (source) and  $t$  (sink).
- Every edge  $(u,v)$  has a capacity  $c(u,v) \geq 0$ .



### • Flow:

- **capacity constraint:** every edge  $e$  has a flow  $0 \leq f(u,v) \leq c(u,v)$ .
- **flow conservation:** for all  $u \neq s, t$ : flow into  $u$  equals flow out of  $u$ .

$$\sum_{v:(v,u) \in E} f(v,u) = \sum_{v:(u,v) \in E} f(u,v)$$



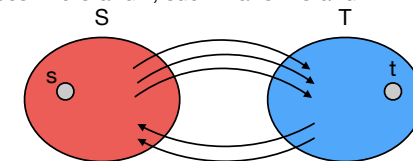
- Value of flow  $f$  is the sum of flows out of  $s$  minus sum of flows into  $s$ :

$$|f| = \sum_{v:(s,v) \in E} f(s,v) - \sum_{v:(v,s) \in E} f(v,s)$$

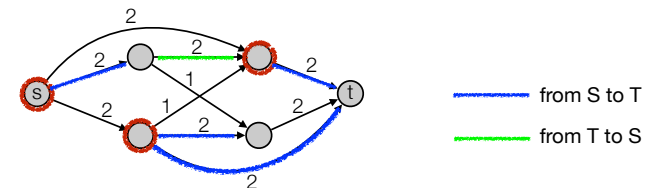
- **Maximum flow problem:** find  $s$ - $t$  flow of maximum value

## s-t Cuts

- **Cut:** Partition of vertices into  $S$  and  $T$ , such that  $s \in S$  and  $t \in T$ .

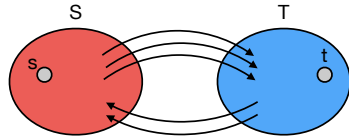


- **Example**



## Network flow: s-t Cuts

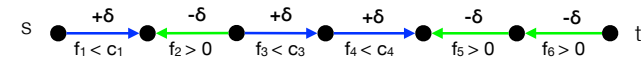
- **Cut:** Partition of vertices into S and T, such that  $s \in S$  and  $t \in T$ .



- **Capacity of cut:** total capacity of edges going **from S to T**.
- **Flow across cut:** flow from S to T minus flow from T to S.
- Value of flow any flow  $|f| \leq c(S,T)$  for any s-t cut (S,T).
- Suppose we have found flow  $f$  and cut (S,T) such that  $|f| = c(S,T)$ . Then  $f$  is a maximum flow and (S,T) is a minimum cut.

## Augmenting paths

- **Augmenting path:** s-t path where
  - **forward** edges have leftover capacity
  - **backwards** edges have positive flow



- There is no augmenting path  $\Leftrightarrow f$  is a maximum flow.
- **Ford-Fulkerson algorithm:**
  - Repeatedly find augmenting path, use it, until no augmenting path exists
  - Running time:  $O(|f^*| m)$ .
- **Edmonds-Karp algorithm:**
  - Repeatedly find **shortest** augmenting path, use it, until no augmenting path exists
  - Use BFS to find a shortest augmenting path.
  - Running time:  $O(nm^2)$
- **Find minimum cut.** All vertices to which there is an augmenting path from  $s$  goes into S, rest into T.

## Augmenting paths

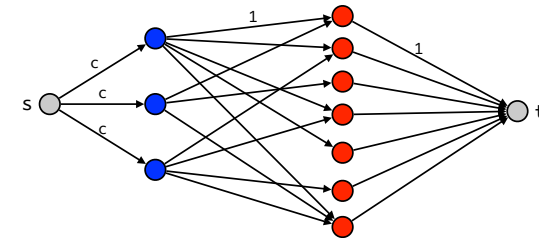
- **Augmenting path:** s-t path where
  - **forward** edges have leftover capacity
  - **backwards** edges have positive flow



- There is no augmenting path  $\Leftrightarrow f$  is a maximum flow.
- **Scaling algorithm:**
  - Set  $\Delta =$  highest power of two that is no larger than the largest capacity out of  $s$ .
  - Until  $\Delta < 1$ 
    - Repeatedly find augmenting path in  $G_\Delta$ , use it, until no augmenting path exists.
    - Set  $\Delta = \Delta/2$
  - Running time:  $O(m^2 \log C)$ .

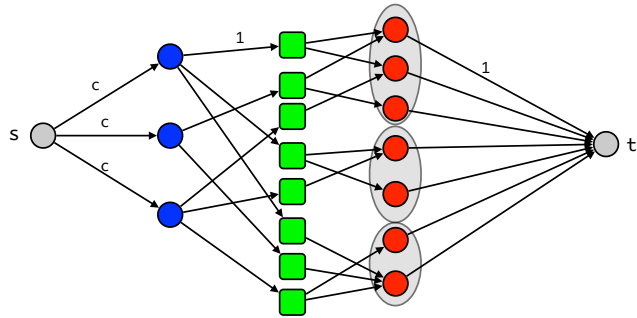
## Network flow

- Can model and solve many problems via maximum flow.
  - Maximum bipartite matching
  - $k$  edge-disjoint paths
  - capacities on vertices
  - Many sources/sinks
  - assignment problems: Example.  $X$  doctors,  $Y$  holidays, each doctor should work at at most  $c$  holidays, each doctor is available at some of the holidays.



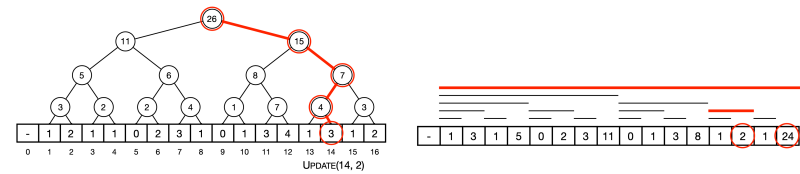
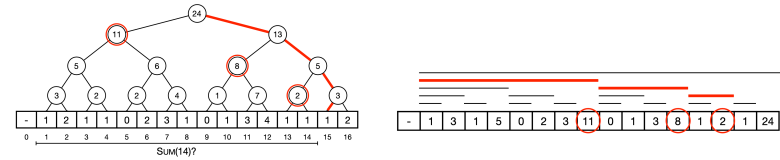
## Scheduling of doctors

- X doctors, Y holidays, each doctor should work at at most c holidays, each doctor is available at some of the holidays.
- Each doctor should work at most one day in each vacation period.



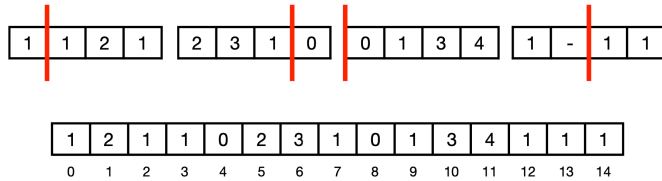
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## Partial sums



## Dynamic array:

- 2-level rotated array



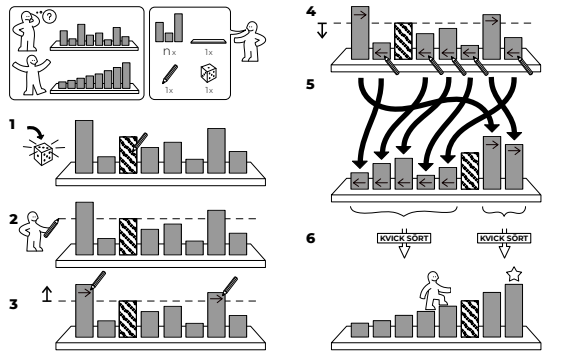
## Amortized analysis

- Amortized analysis.
  - Time required to perform a sequence of data operations is averaged over all the operations performed.
- Example: dynamic tables with doubling and halving
  - If the table is full copy the elements to a new array of double size.
  - If the table is a quarter full copy the elements to a new array of half the size.
  - Worst case time for insertion or deletion:  $O(n)$
  - Amortized time for insertion and deletion:  $O(1)$
  - Any sequence of  $n$  insertions and deletions takes time  $O(n)$ .
- Methods.
  - Aggregate method
  - Accounting method
  - Potential method

# Randomized algorithms

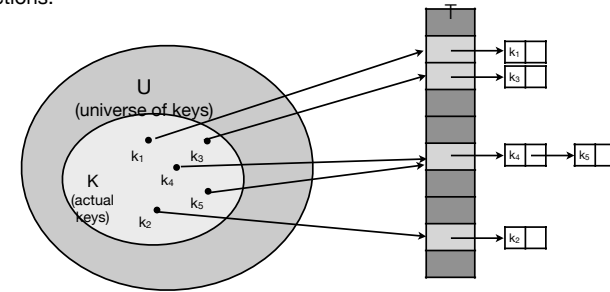
- Contention resolution
- Minimum cut
- Coupon Collector.
- Selection
- Quicksort
- Hashing

## KVICK SÖRT



# Hash tables and hash functions

- **Theorem.** We can solve the dictionary problem (without special assumptions) in:
  - $O(n)$  space.
  - $O(1)$  expected time per operation (lookup, insert, delete).
- **Hash function.** Given a prime  $p$  and  $a = (a_1 a_2 \dots a_r)_p$ , define
 
$$h_a((x_1 x_2 \dots x_r)_p) = a_1 x_1 + a_2 x_2 + \dots + a_r x_r \pmod p$$
- Then  $H = \{h_a \mid (a_1 a_2 \dots a_r)_p \in \{0, \dots, p-1\}^r\}$  is a universal family of hash functions.

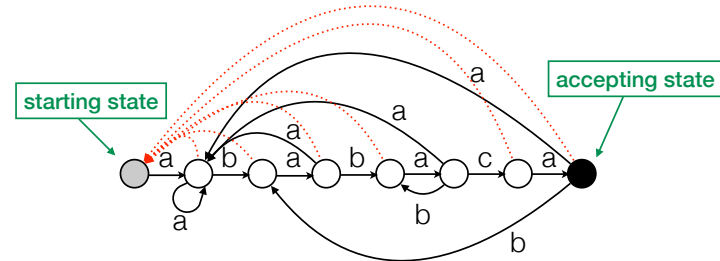


# String Matching

- **String matching problem:**
  - string  $T$  (text) and string  $P$  (pattern) over an alphabet  $\Sigma$ .  $|T| = n$ ,  $|P| = m$ .
  - Report all starting positions of occurrences of  $P$  in  $T$ .
- **Knuth-Morris-Pratt (KMP).** Running time:  $O(m + n)$
- **String matching automaton.** Running time:  $O(n + m|\Sigma|)$

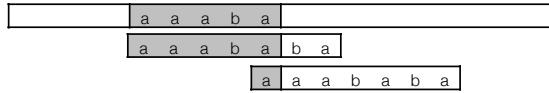
# Finite Automaton

- **Finite automaton:** alphabet  $\Sigma = \{a, b, c\}$ .  $P = ababaca$ .



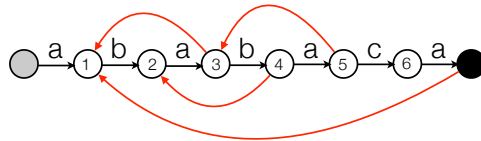
## Knuth-Morris-Pratt (KMP)

- Matched  $P[1\dots q]$ : Find longest block  $P[1..k]$  that matches end of  $P[2..q]$ .



- Find longest prefix  $P[1\dots k]$  of  $P$  that is a *proper* suffix of  $P[1\dots q]$
- Array  $\pi[1\dots m]$ :
  - $\pi[q] = \max k < q$  such that  $P[1\dots k]$  is a suffix of  $P[1\dots q]$ .
- Can be seen as finite automaton with *failure links*:

|          |   |   |   |   |   |   |   |
|----------|---|---|---|---|---|---|---|
| i        | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| $\pi[i]$ | 0 | 0 | 1 | 2 | 3 | 0 | 1 |



## P and NP

- $P$  solvable in deterministic polynomial time.
- $NP$  solvable in non-deterministic (with guessing) polynomial time. Only the time for the right guess is counted.
- $P \subseteq NP$  (every problem  $T$  which is in  $P$  is also in  $NP$ ).
- It is not known (but strongly believed) whether the inclusion is proper, that is whether there is a problem in  $NP$  which is not in  $P$ .
- There is subclass of  $NP$  which contains the hardest problems,  $NP$ -complete problems.
- Reductions.

## Courses

