



# Simplicial Complexes: Theory and Implementation

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**DSC 2011 Workshop**  
Kgs. Lyngby, 25th August 2011

# Affine independence

## Definition (affine independence)

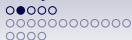
Let  $\mathbf{v}_1, \dots, \mathbf{v}_{p+1}$  be points in an  $n$ -dimensional Euclidean space  $E^n$ . We call them *affinely dependent* if

$$(\exists \mu_1, \dots, \mu_{p+1} \in \mathbb{R}) \sum_{i=1}^{p+1} \mu_i = 1 \wedge \sum_{i=1}^{p+1} \mu_i \mathbf{v}_i = \mathbf{0}.$$

Otherwise, we call them *affinely independent*.

## Examples:

- three non-collinear points in  $E^2$  are affinely independent;
- four non-coplanar points in  $E^3$  are affinely independent;



# Simplex

## Definition (Euclidean simplex)

Having  $p + 1$  affinely independent points  $\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_{p+1} \in E^n$ , an *Euclidean simplex*  $\sigma = \langle \mathbf{v}_1, \dots, \mathbf{v}_{p+1} \rangle$  is a set of points given by a formula:

$$\mathbf{v} = \alpha_1 \mathbf{v}_1 + \dots + \alpha_{p+1} \mathbf{v}_{p+1},$$

where  $\alpha_i \geq 0$ ,  $\sum_i \alpha_i = 1$  ( $\sigma$  is the *convex hull* of  $\mathbf{v}_1, \dots, \mathbf{v}_{p+1}$ ).



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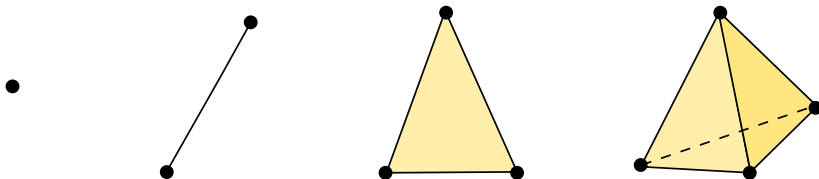
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- $\sigma$  is a closed set in  $E^n$ .
- $p$  is the *dimension* of  $\sigma$  (equivalently  $\sigma$  is an Euclidean *p-simplex*).

# Simplices



We call a 0-simplex a *vertex*, a 1-simplex an *edge*, a 2-simplex a *face* and a 3-simplex a *tetrahedron*.



# Faces

## Definition (vertex, $q$ -face)

We call each point  $\mathbf{v}_i$  a *vertex* of  $\sigma$ , and each simplex  $\langle \mathbf{v}_{i_1}, \dots, \mathbf{v}_{i_{q+1}} \rangle$  ( $0 \leq q \leq p$ ,  $1 \leq i_k \leq p+1$ ) a  $q$ -*face* of  $\sigma$  (or simply a *face* of  $\sigma$ , if no ambiguity arises).

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- The faces of  $\sigma$  that are not equal to  $\sigma$  itself are called its *proper faces*.
- The union of all the boundary faces of a simplex  $\sigma$  is called the *boundary* of  $\sigma$ .



# Simplex sets

- For arbitrary, finite set of simplices  $\Sigma$  we define its *dimension*, as the maximum dimension of the simplices in  $\Sigma$ :

$$\dim(\Sigma) = \max\{\dim(\sigma) : \sigma \in \Sigma\}.$$



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- We also define a *k-subset* of  $\Sigma$  as a set of all *k*-simplices in  $\Sigma$ :

$$\text{filter}_k(\Sigma) = \{\sigma_i \in \Sigma : \dim(\sigma_i) = k\}.$$

# Euclidean simplicial complex

## Definition (Euclidean simplicial complex)

A finite set  $\Sigma$  of Euclidean simplices forms a (finite) *Euclidean simplicial complex* if the following two conditions hold:

1.  $\Sigma$  is closed: for each simplex  $\sigma \in \Sigma$ , all faces of  $\sigma$  are also in  $\Sigma$ .
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- In particular, for any nonnegative integer  $k$ , the subset  $K^{(k)} \subset K$  consisting of all simplices of dimension less than or equal to  $k$  is a subcomplex, called the  *$k$ -skeleton* of  $K$ .

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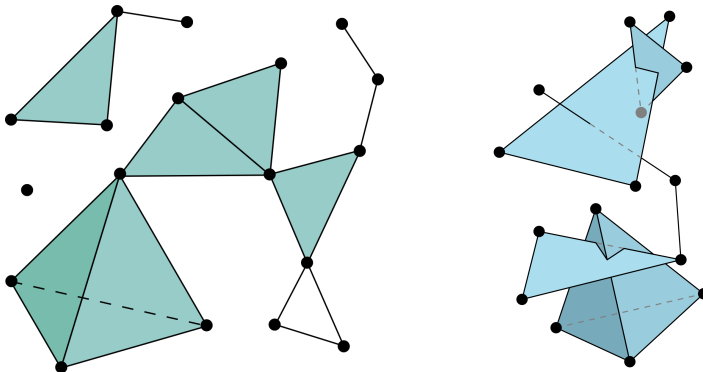
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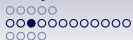
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- The 0-skeleton of  $K$  is called a *vertex set* of  $K$  and denoted  $V(K)$ .



# Euclidean simplicial complex





# Topological relations

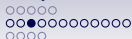
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- for  $p < q$ , the *coboundary relation*  $C_{p,q}(\sigma^p)$  is the set of all  $q$ -simplices that have  $\sigma^p$  as a face:

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- for  $p > 0$ , the *adjacency relation*  $A_p(\sigma^p)$  is the set of all  $p$ -simplices, which are  $(p-1)$ -adjacent to  $\sigma^p$  (which means those simplices, that share a  $(p-1)$ -face with  $\sigma^p$ ):

$$A_p(\sigma^p) = \text{filter}_p\{\sigma \in K : |\text{vert}(\sigma^p) \cap \text{vert}(\sigma)| = p\},$$

# Star

## Definition (star)

We define the *star* of a simplex  $\sigma$  as a set of all the simplices in  $K$ , which have  $\sigma$  as a face:

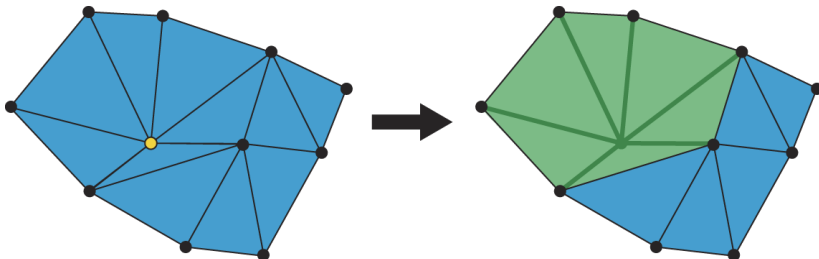
$$\text{st}(\sigma^p) = \{\sigma \in K : \text{vert}(\sigma^p) \subset \text{vert}(\sigma)\} = \bigcup_{q=p+1}^n C_{p,q}(\sigma^p).$$

For the sake of convenience, we also define a star of an arbitrary subset  $\Sigma$  of  $K$ , as the union of the stars of all simplices in  $\Sigma$ :

$$\text{st}(\Sigma) = \bigcup_{\sigma_j \in \Sigma} \text{st}(\sigma_j).$$



# Star



# Closure

## Definition (closure)

We define the *closure* of a simplex  $\sigma^p \in K$  as a set

$$\text{cl}(\sigma^p) = \bigcup_{q=0}^p B_{p,q}(\sigma^p).$$

The closure of a simplex set  $\Sigma \subset K$  is expressed as a set

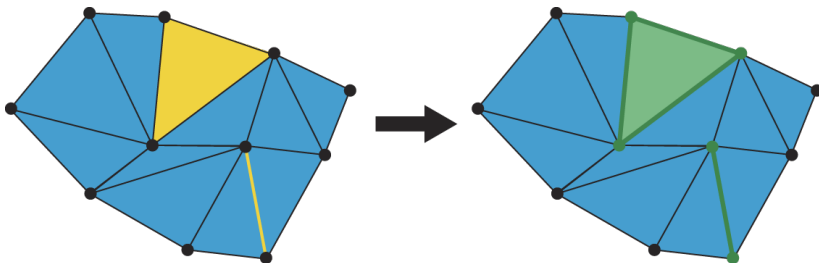
$$\text{cl}(\Sigma) = \bigcup_{\sigma_i \in \Sigma} \text{cl}(\sigma_i).$$

Equivalently, we can define the closure of a simplex  $\sigma \in K$  (simplex set  $\Sigma \subset K$ ) as the smallest subcomplex of  $K$  containing  $\sigma$  (including  $\Sigma$ ).





# Closure



# Link

## Definition (link)

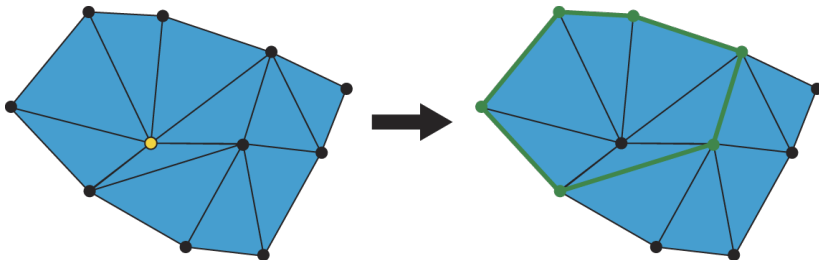
The *link* of a simplex  $\sigma$  is defined as the set of all the the simplices in the closure of the star of  $\sigma$ , which do not share a face with  $\sigma$ :

$$\text{lk}(\sigma) = \text{cl}(\text{st}(\sigma)) - \text{st}(\text{cl}(\sigma)).$$

It can be proven that for every simplex  $\sigma \in K$ ,  $\text{lk}(\sigma)$  is a subcomplex.



# Link



# Carrier

## Definition

The *carrier*  $\|K\|$  of a simplicial complex  $K$  (also called the *polyhedron*  $\|K\|$ ) is a subset of  $E^n$  defined by the union, as point sets, of all the simplices in  $K$ .

## Definition

For each point  $v \in \|K\|$  there exists exactly one simplex  $\sigma \in K$  containing  $v$  in its relative interior. This simplex is denoted by  $\text{supp}(v)$  and called the *support* of the point  $v$ .

# Manifoldness

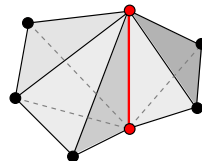
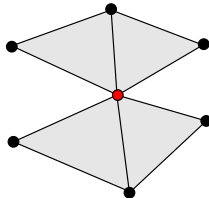
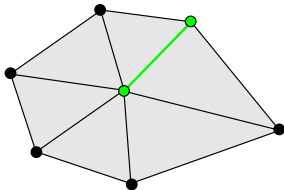
## Definition (notion of manifoldness)

We say that a point  $\mathbf{v} \in A \subset E^n$  is *p-manifold* if there exists a neighbourhood  $U$  of  $\mathbf{v}$  such that  $A \cap U$  is homeomorphic to  $\mathbb{R}^p$  or  $\mathbb{R}^{(p-1)} \times (0, +\infty)$ . Otherwise we call  $\mathbf{v}$  *non-manifold*.

We say that a simplex  $\sigma \in K$  is *p-manifold*, if every point of the relative interior of this simplex is *p-manifold* with regard to the carrier of  $K$ .  
E.g. obviously each  $n$ -simplex is  $n$ -manifold, each  $(n-1)$ -simplex is  $n$ -manifold if it is a face of at least one  $n$ -simplex, etc.

We also say that an  $n$ -dimensional simplicial complex  $K$  in  $E^n$  is manifold, if each of its simplices is  $n$ -manifold.

# Manifoldness



# Orientations

We introduce the following equivalence relation in the set  $P_\sigma$  of all orderings  $(\mathbf{v}_{i_1}, \dots, \mathbf{v}_{i_{p+1}})$  of the vertices of  $\sigma = \langle \mathbf{v}_1, \dots, \mathbf{v}_{p+1} \rangle$ :

$$(\mathbf{v}_{i_1}, \dots, \mathbf{v}_{i_{p+1}}) \sim (\mathbf{v}_{\pi(i_1)}, \dots, \mathbf{v}_{\pi(i_{p+1})})$$

iff  $\pi : \{1, 2, \dots, p+1\} \rightarrow \{1, 2, \dots, p+1\}$  is an even permutation operator.

We call each element of the quotient set  $\mathcal{O}_\sigma = P_\sigma / \sim$  an *orientation* of a simplex  $\sigma$ .

If  $p > 0$ ,  $|\mathcal{O}_\sigma| = 2$ , meaning that there are two possible orientations for any simplex defined on a set of  $p+1$  points from  $E^n$ .

# Oriented volume

We define an *oriented volume* of  $\sigma = [\mathbf{v}_1, \dots, \mathbf{v}_{p+1}]$

$$\begin{aligned} \mathcal{V}(\sigma) &= \mathcal{V}(\mathbf{v}_1, \dots, \mathbf{v}_{p+1}) \\ &= \frac{1}{p!} \det(\mathbf{v}_1 - \mathbf{v}_2, \mathbf{v}_2 - \mathbf{v}_3, \dots, \mathbf{v}_p - \mathbf{v}_{p+1}, \mathbf{v}_{p+1} - \mathbf{v}_1). \end{aligned}$$

It can be proven, that for an even permutation operator  $\pi$

$$\mathcal{V}(\mathbf{v}_{\pi(1)}, \dots, \mathbf{v}_{\pi(p+1)}) = \mathcal{V}(\mathbf{v}_1, \dots, \mathbf{v}_{p+1}),$$

and for an odd permutation operator  $\pi'$

$$\mathcal{V}(\mathbf{v}_{\pi'(1)}, \dots, \mathbf{v}_{\pi'(p+1)}) = -\mathcal{V}(\mathbf{v}_1, \dots, \mathbf{v}_{p+1}),$$





# Natural and induced orientation

## Definition (natural orientation)

The orientation of  $\sigma$ , for which  $\mathcal{V}(\sigma) > 0$  is called the *natural orientation*.

## Definition (induced orientation)

The  $p$ -simplex  $\sigma^p = [\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_{p+1}]$  determines an orientation of each of its  $(p-1)$ -faces, called the *induced orientation*, by the following rule: the induced orientation on the face

$\sigma_i^{p-1} = \langle \mathbf{v}_1, \dots, \mathbf{v}_{i-1}, \mathbf{v}_{i+1}, \dots, \mathbf{v}_{p+1} \rangle$  is defined to be  $(-1)^{i+1}[\mathbf{v}_1, \dots, \mathbf{v}_{i-1}, \mathbf{v}_{i+1}, \dots, \mathbf{v}_{p+1}]$ .



# Consistency

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- An orientation of  $K$  is a choice of orientation of each  $n$ -simplex in such a way that any two simplices that intersect in an  $(n-1)$ -face are consistently oriented.
- If a complex  $K$  admits an orientation, it is said to be *orientable*.

# Triangle meshes

## Definition (triangle mesh)

A dimension 2 simplicial complex  $K \subset E^n$  (where  $n \geq 2$ ), such that every 0 or 1-simplex  $\sigma \in K$  is a face of a 2-simplex  $\sigma^2 \in K$  is called a *triangle mesh*.

Triangle meshes inherit the notions of manifoldness and orientability from simplicial complexes.



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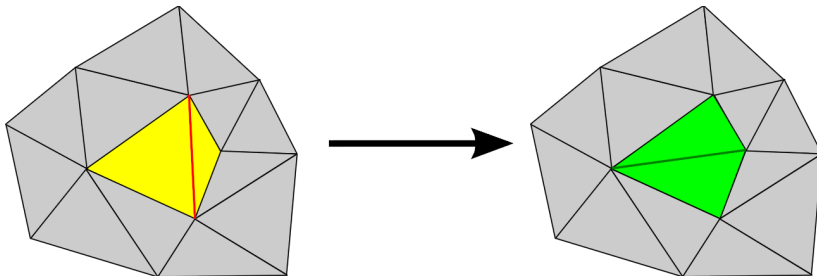
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- **edge flips**: mesh reconnection without changing vertex placement;
- **edge splits**: introducing a new vertex on an edge;
- **face splits**: introducing a new vertex on a face;
- **edge collapse**: removing an edge and its adjacent triangles;

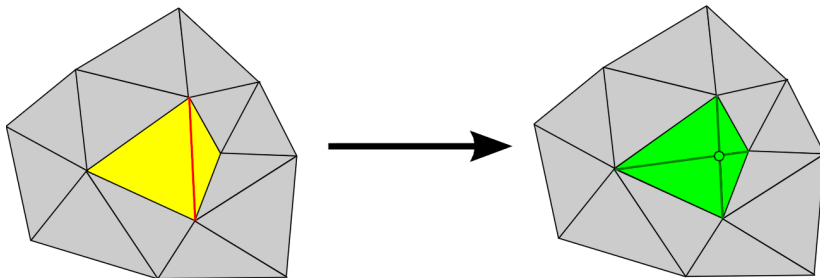


# Edge flip



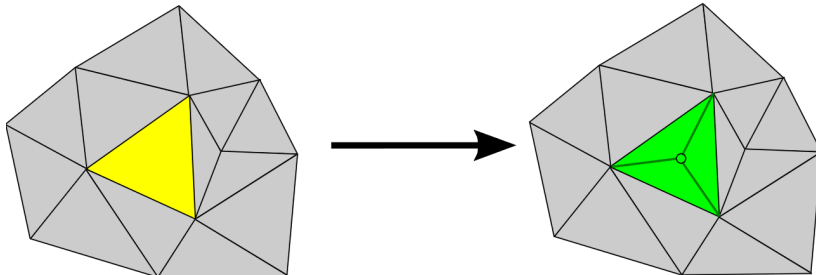


# Edge split



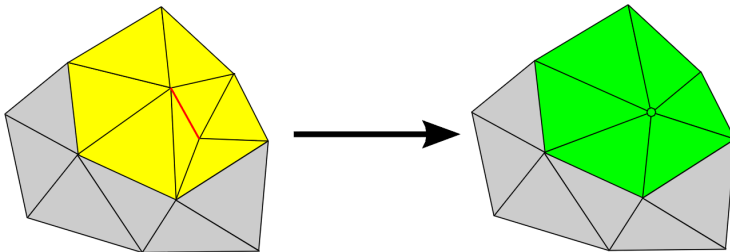


# Face split





# Edge collapse





# Tetrahedral meshes

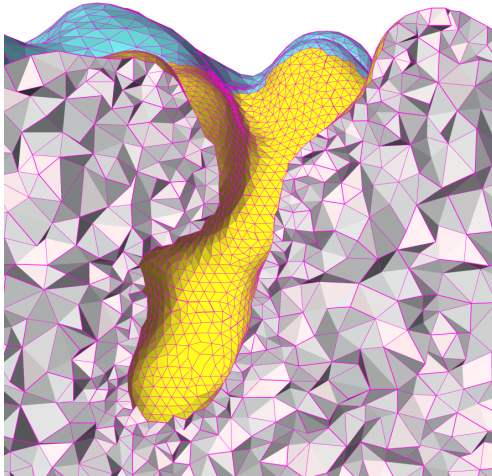
## Definition (tetrahedral mesh)

A dimension 3 simplicial complex  $K \subset E^n$  (where  $n \geq 3$ ), such that every 0, 1 or 2-simplex  $\sigma \in K$  is a face of a 3-simplex  $\sigma^3 \in K$  is called a *tetrahedral mesh*.

Tetrahedral meshes inherit the notions of manifoldness and orientability from simplicial complexes.

Triangle mesh operations generalize (although not always easily) to tetrahedral meshes.

# Tetrahedral mesh





# Data structures

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- Depending on the purpose, not all of the simplex types might be represented in the data structure (for example: *indexed face set*, for simplicial complexes of dimension 2, with no dangling edges).
- If want to ensure efficient traversal, *incidence* information has to be stored together with the simplices.

# Data structures

- The main purpose of data structures representing a simplicial complex  $K$  is to store data associated with simplices in  $K$ .
- Depending on the purpose, not all of the simplex types might be represented in the data structure (for example: *indexed face set*, for simplicial complexes of dimension 2, with no dangling edges).
- If want to ensure efficient traversal, *incidence* information has to be stored together with the simplices.
- Examples include: quad-edge, half-edge (for 2-manifold triangular meshes).



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- We store with each  $p$ -simplex  $\sigma^p \in K$  (for  $p > 1$ ) the *unordered* set of handles to its  $p + 1$   $(p - 1)$ -dimensional faces  $\sigma_1^{p-1}, \dots, \sigma_{p+1}^{p-1}$  (the boundary relation  $B_{p,p-1}(\sigma^p)$ ).

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- Partial coboundary relation  $C_{p,p+1}^*(\sigma^p)$  consists of  $(p + 1)$ -simplices from  $\text{st}(\sigma^p)$  connecting  $\sigma^p$  with its link, one per each connected component in  $\text{lk}(\sigma^p)$ .



# Our implementation

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- Our implementation of the IS data structure is restricted to simplicial complexes of dimension three or less.
- Our implementation is orientation-aware: we identify an oriented simplex  $\sigma^p$  with an *ordered* tuple of its  $(p-1)$ -faces:

$$[\sigma_1^{p-1}, \dots, \sigma_{p+1}^{p-1}],$$

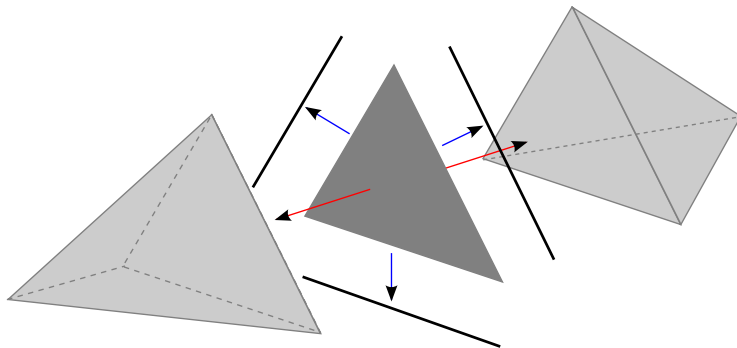
which implies:

$$\sigma^p = \left[ \text{vert}(\sigma^p) / \text{vert}(\sigma_1^{p-1}), \dots, \text{vert}(\sigma^p) / \text{vert}(\sigma_{p+1}^{p-1}) \right],$$

where:

$$\text{vert}(\sigma^d) = \bigcup_{i=1}^{d+1} \text{vert}(\sigma_i^{d-1}),$$

# Our implemetation



It can be seen that:

- $C_{2,3}^*(\sigma^2) = C_{2,3}(\sigma^2)$ ,
- if  $\sigma^p$  ( $p < 2$ ) is 3-manifold, then  $|C_{p,p+1}^*(\sigma^p)| = 1$ .



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- **boundary** – evaluation of the boundary of the simplex;
- **orient faces consistently/oppositely** – enforcing a consistent/opposite orientation on all  $(p - 1)$ -faces of a  $p$ -simplex  $\sigma^p$ ;
- **orient co-faces consistently/oppositely** – enforcing a consistent/opposite orientation on all  $(p + 1)$ -simplices having a given  $p$ -simplex  $\sigma^p$  as a face;



# References

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